

Stress - asthma relationship in children

Seija Sandberg

University College London



Why concern about stress?

Growing evidence that **psychosocial stress**

- contributes to development of wheezing illnesses and **asthma** especially in early childhood
- predicts greater illness severity in children who already have asthma

Bloomberg & Chen (2005), *Immunol Allergy Clin N Amer*, 25: 83-105

Chen et al (2006), *J Allergy Clin Immunol*, 117: 1014 – 20

Chida et al (2008), *Psychosom Med*, 70: 102 -16

Kozyrskyj et al (2008), *Am J Respir Crit Care Med*, 177: 142-7

Turyk et al (2008), *Pediatr Allergy Immunol*, 19: 255-63

Presentation outline



Childhood asthma – characteristics

Childhood stress – characteristics

Biology of atopy / allergy / asthma

Biological stress mechanisms

Contribution of stress on

- development of atopy/allergy phenotype
- manifestation of asthma
- course of existing asthma

(Differential effects of stress on children with asthma compared with healthy children)

Early life stress and risk of asthma

Summary/conclusions

Asthma

Is a complex, coordinated, multi system, multi cellular, inflammatory disorder

Manifests with **repeated, variable, episodic attacks of breathlessness, cough & wheeze**, occurring secondary to broncho-constriction in the setting of airway hyper responsiveness & mucous hyper secretion

Its development requires **interaction** between **environment & genetic susceptibility**

Asthma

- **Commonest chronic disease in childhood**
11-14% of school-age children in W Europe affected ¹
- **Remains a serious health problem,**
despite effective pharmacological therapies
- **Almost 30-fold between-country variation in prevalence,**
urbanised & more westernised countries with highest rates
UK, Ireland, NZ, Australia, USA, Canada, some L-A countries ²
- **Exposure to urbanised way of life does not explain all**
between & within country differences

¹ Pearce et al (2007), *Thorax*, 62: 758-766

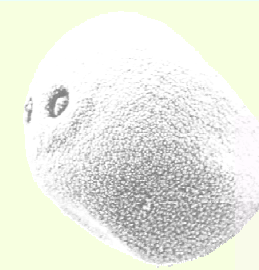
² Asher et al (2006), *Lancet*, 368: 733-743

Atopic vs. Non-atopic Asthma

Most children with asthma have history of atopy/allergy¹

Atopic/allergic asthma

the dominant form of childhood asthma in affluent communities, but far less common in poor communities²



Non-atopic/non-allergic (intrinsic) asthma

predominant form of childhood asthma in poor communities of the tropics (S-Am, Africa)³ & rural China⁴

(often associated with helminth infections & bronchiolitis)
• Helminth infestations inversely associated with both allergies & asthma in low income populatons



¹ Robinson (2009), *Clin Exper Allergy*, 39, 1314-1323

² Weinmayr et al (2007), *Am J Respir Crit Care Med*, 176: 565-74

³ Leonardi-Bee et al (2006), *Am J Respir Crit Care Med*, 174, 514-23

⁴ Palmer et al (2002), *Am j Respir Crit Care Med*, 165, 1489-93

Stress, stressor, stress reaction

Stressor

Any intrusion into child's normal physical / psychosocial life experiences that acutely or chronically unbalances

Stress

physiological or psychological equilibrium, threatens security or distorts physical / psychological growth & development

and

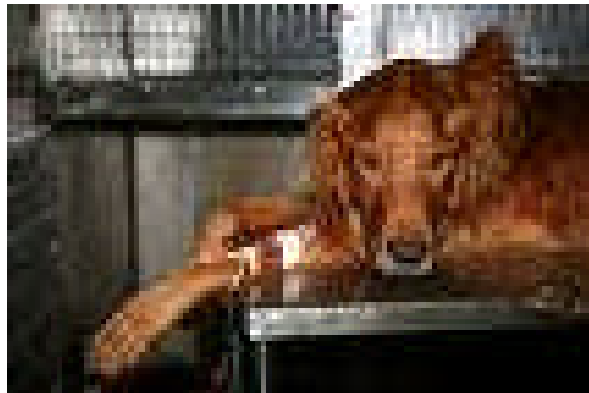
Stress reaction

the psychophysiological consequences of such intrusion / distortion

Types of child stress



Acute stress (negative life events)



Chronic stress



Episodic stress (e.g. exams)



Life events to children

Majority

involve important social relationships

family

friends

and relate to the child's immediate environment

home

school



Fewer

Can be seen as unpredictable 'acts of fate'

major traumatic incidents

sudden loss of a close person



The concept of contextual threat



The term **Contextual Threat** refers to the level of threat caused or implied by a life event to an average child/adolescent of the same age, sex & biographical characteristics as those of the young person in question

It is simultaneously an **objective & personalised** measure

'Contextual positive impact' is defined along similar principles

Real life change: major alteration in life circumstances
e.g., death of parent; move to another city or country

Threat mainly cognitive
Event drastically changes child's perception of themselves/
Of other people in a way that presents threat to child's
Self-esteem, or reduces perceived sense of security

Combination of real life change & cognitive threat
e.g., parents' marital separation

Sources of chronic stress

Chronic stress in children usually stems from enduring adverse circumstances such as unsafe environment and socio-economic deprivation, parental unemployment or illness, and family discord or criminality



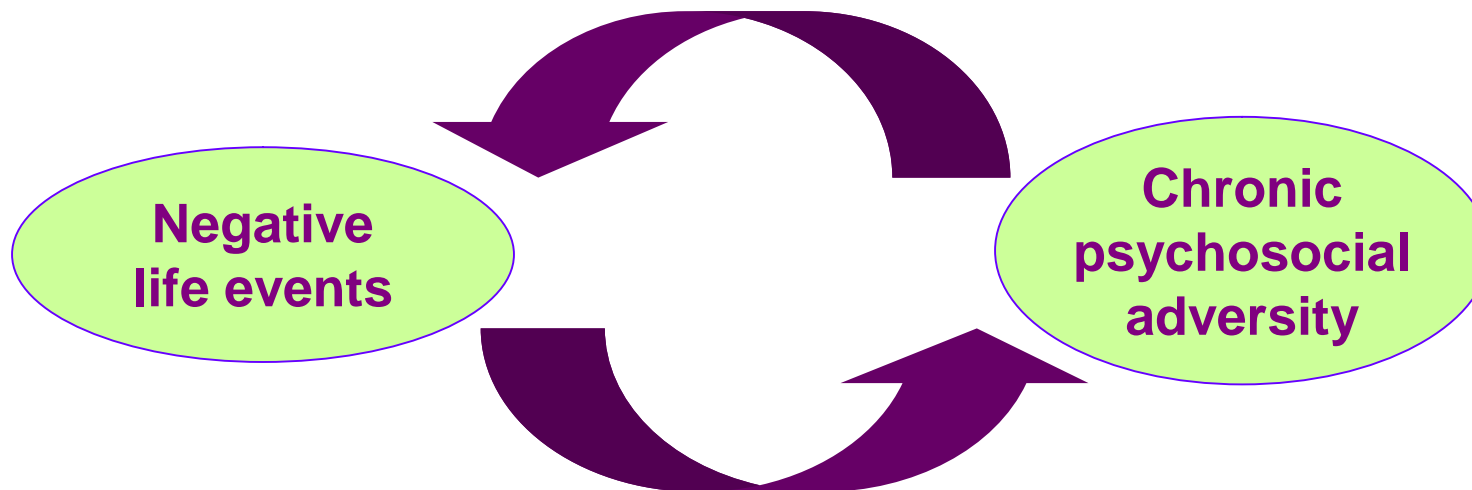
Acute life events & chronic adversity

Negative life events more common in children who also suffer from chronic psychosocial adversities

Parental illness /
personality disorder /
parental discord

Poverty /
deprived life
circumstances

Neighbourhood
violence /
disorganisation



Atopic (allergic) asthma chronic inflammatory disorder, characterised by **T-helper type 2 (Th2) immune response**, results from their inappropriate responses to common environmental proteins termed allergens

May results from deficiency of **regulatory T cells (Treg)** that suppress potentially harmful immune responses¹

Amongst the various environmental factors , **psychosocial stress** proposed as an important agent contributing to the function of **regulatory T cells**

¹ Robinson DS (2009), Clin Exper Allergy, 39, 1314-23

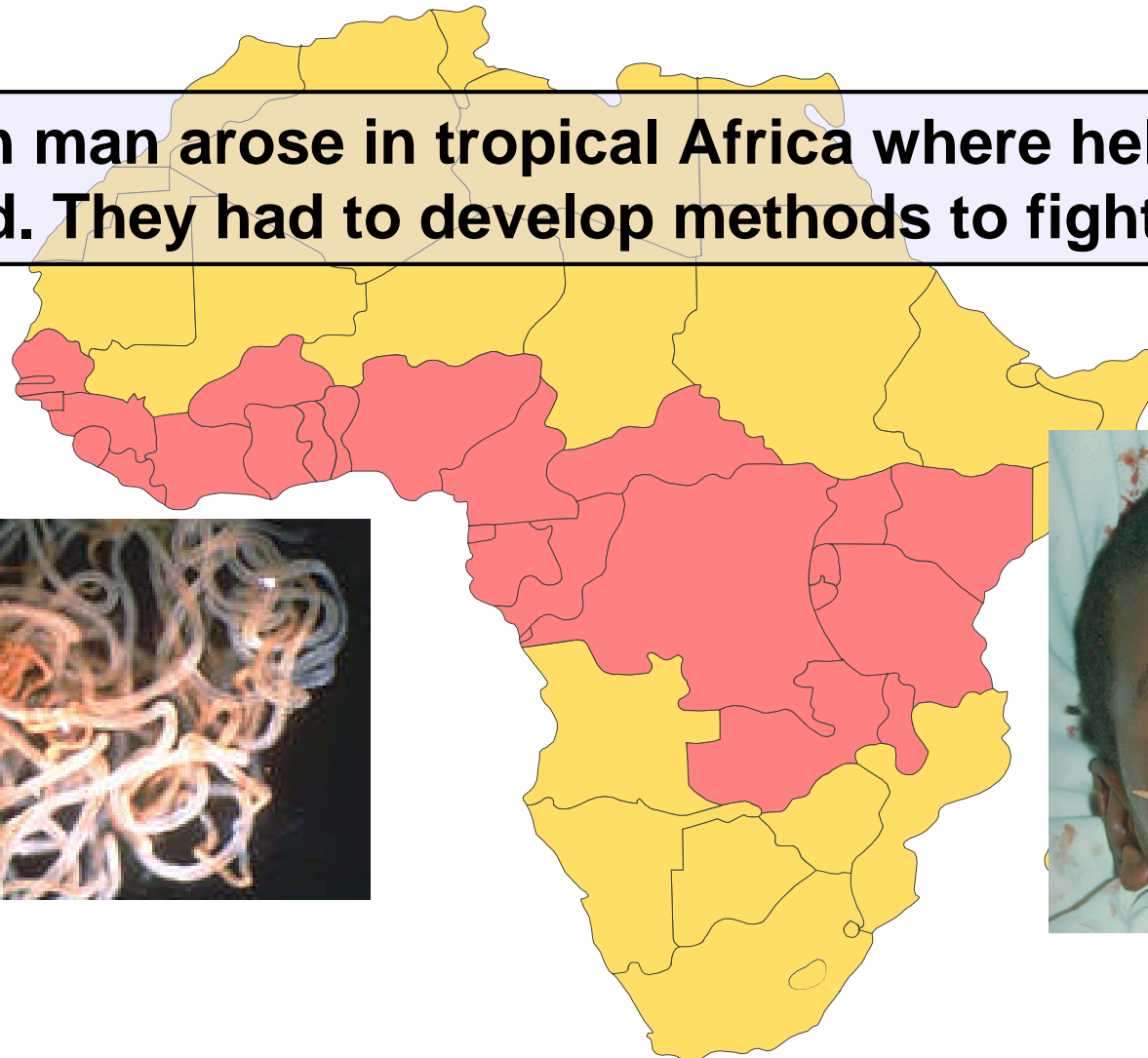
The Two Survival Mechanisms of Humans

**T-helper 2 (Th2) immune response
and
acute stress response**

**Have they joined together and
begun to work against us?**

From Parasites to Allergy

Modern man arose in tropical Africa where helminths thrived. They had to develop methods to fight them.



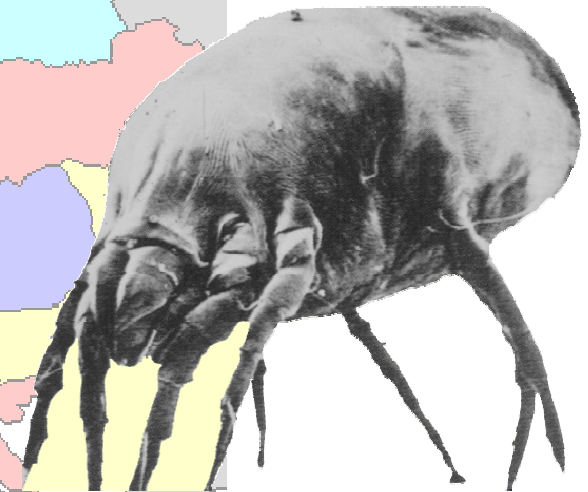
From Parasites to Allergy

Relatively recently, humans migrated to cooler and drier climates where helminths struggle to survive



From Parasites to Allergy

When they no longer had to fight helminths they developed allergies & asthma instead. But why?



Genes and the Th2 Pathway

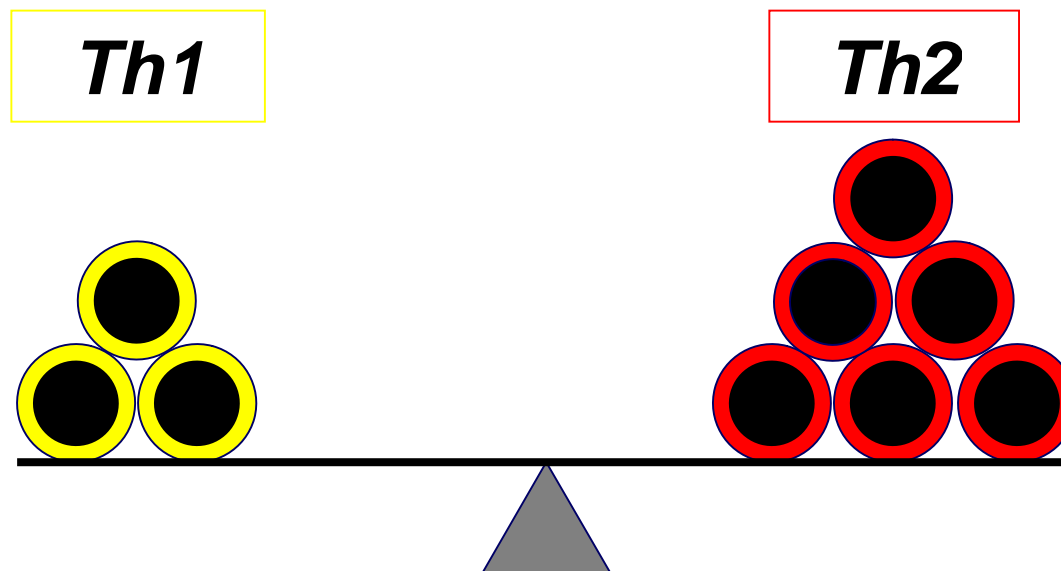
The same genes are involved in both the immunology of:

- **increased host protection from parasites**
and
- **increased prevalence of allergic disease**

Th2 responses are thought to have evolved in mammals to resist infection by parasites, particularly helminths

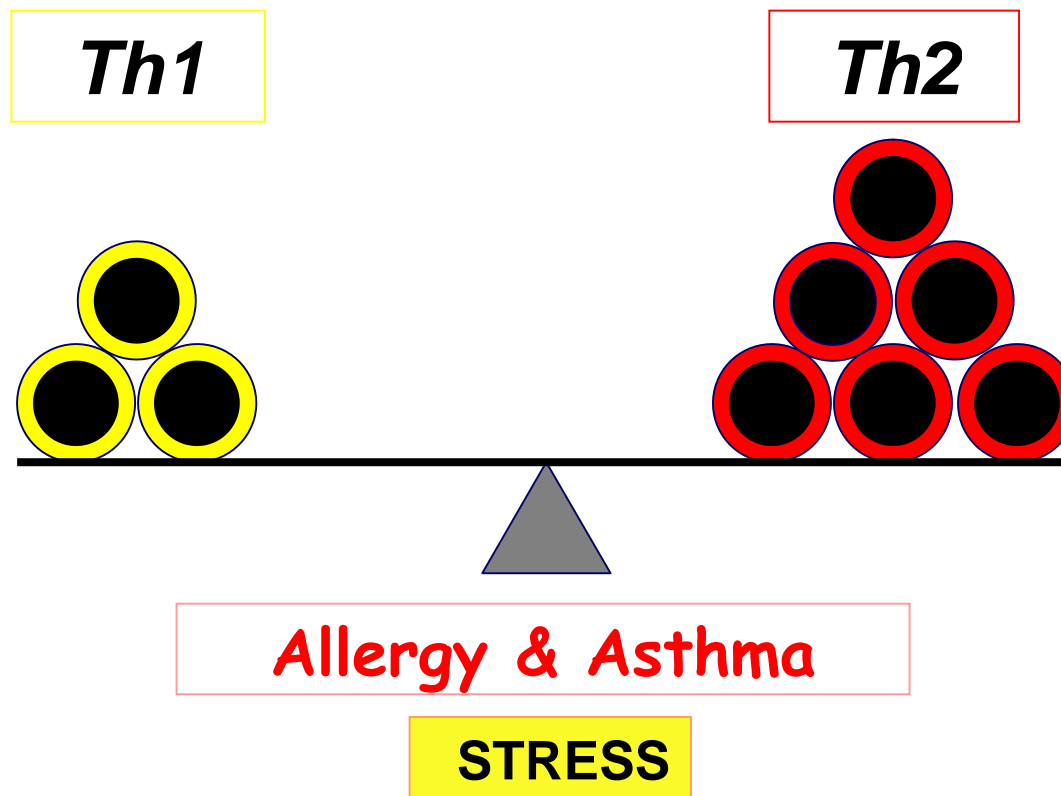
From Parasites to Allergy

The genetic tendency to mount strong Th2 responses may have become a liability causing allergy rather than defending against parasites

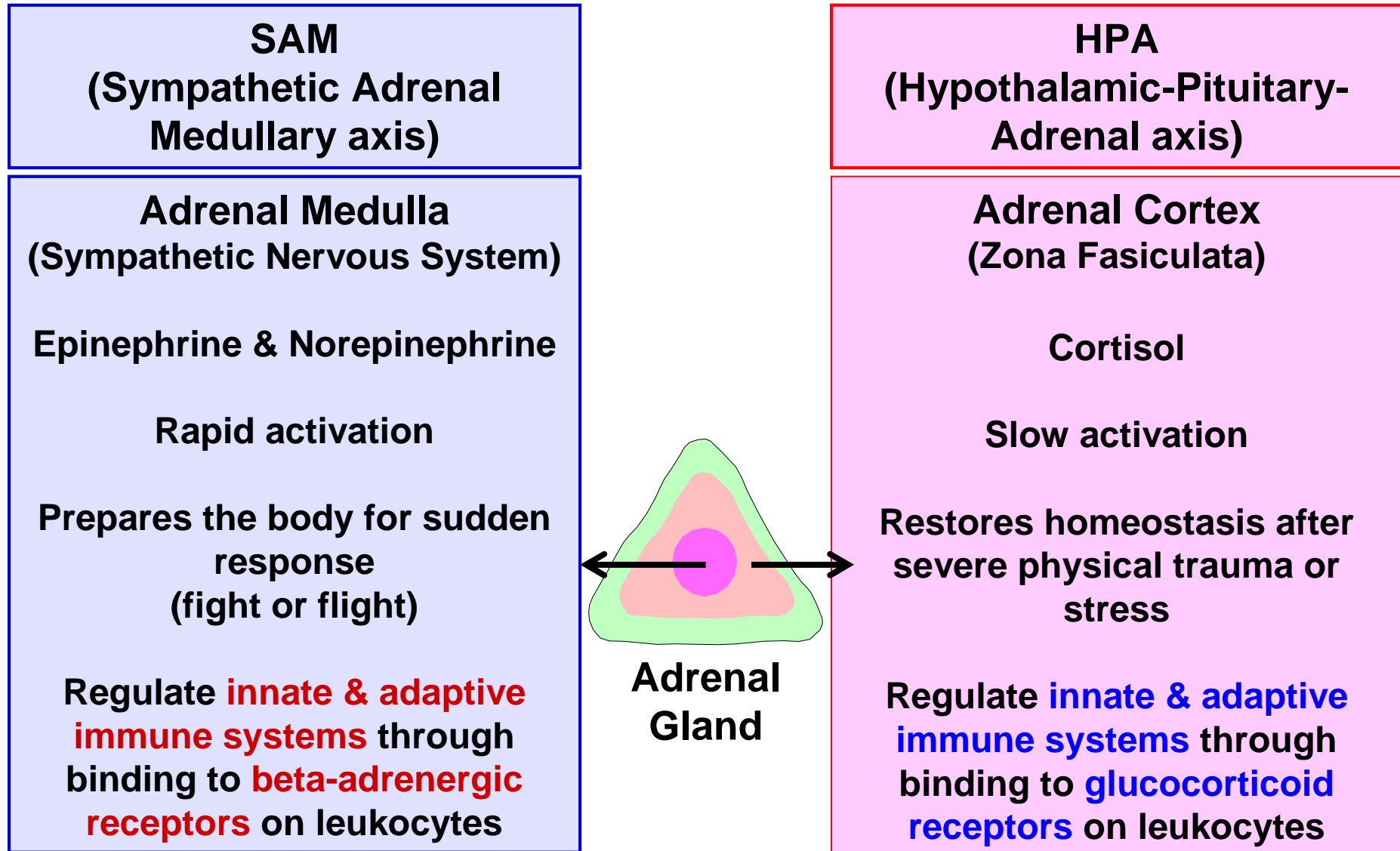


From parasites to allergy & asthma

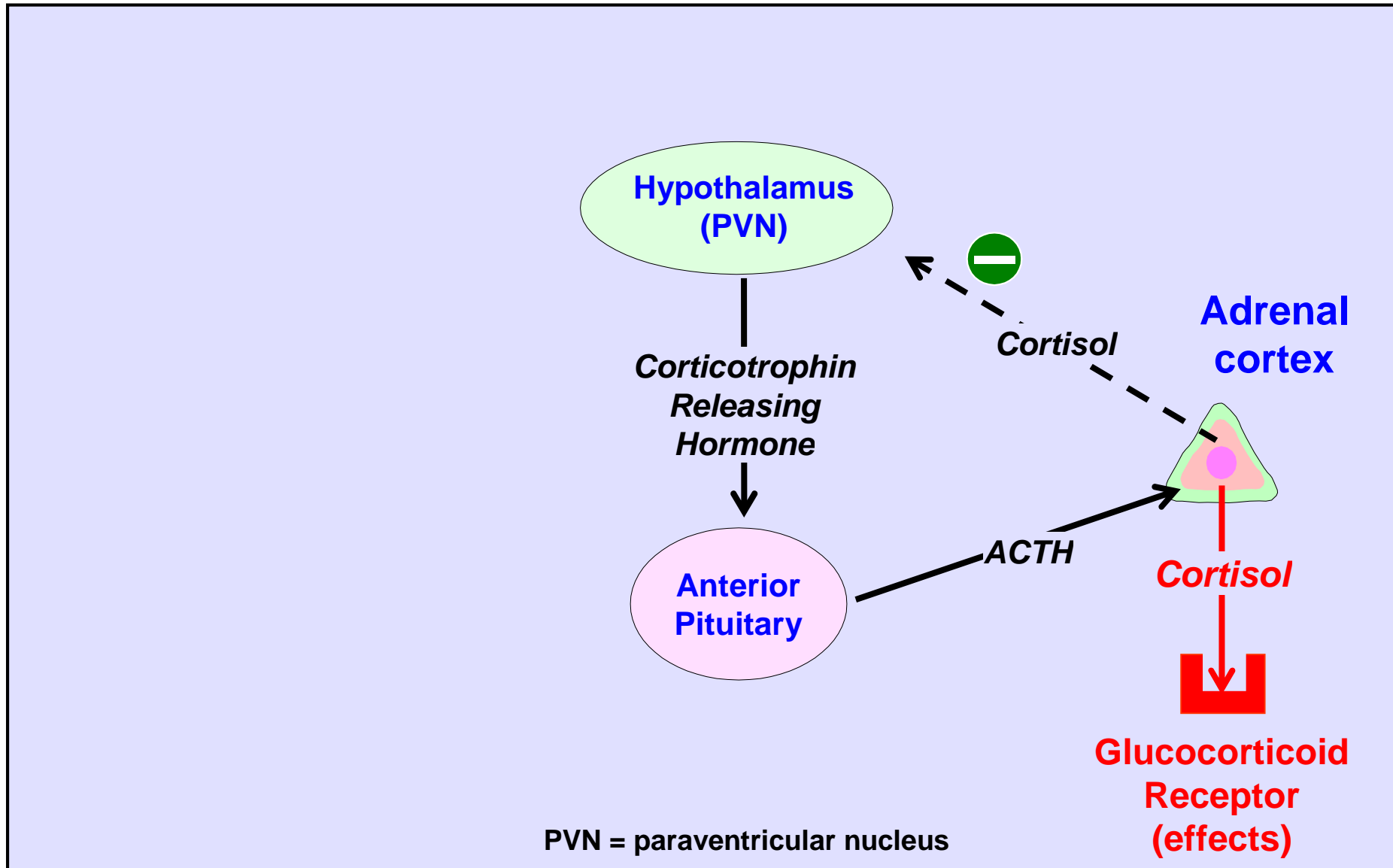
The genetic tendency to mount strong Th2 responses may have become a liability causing allergy rather than defending against parasites



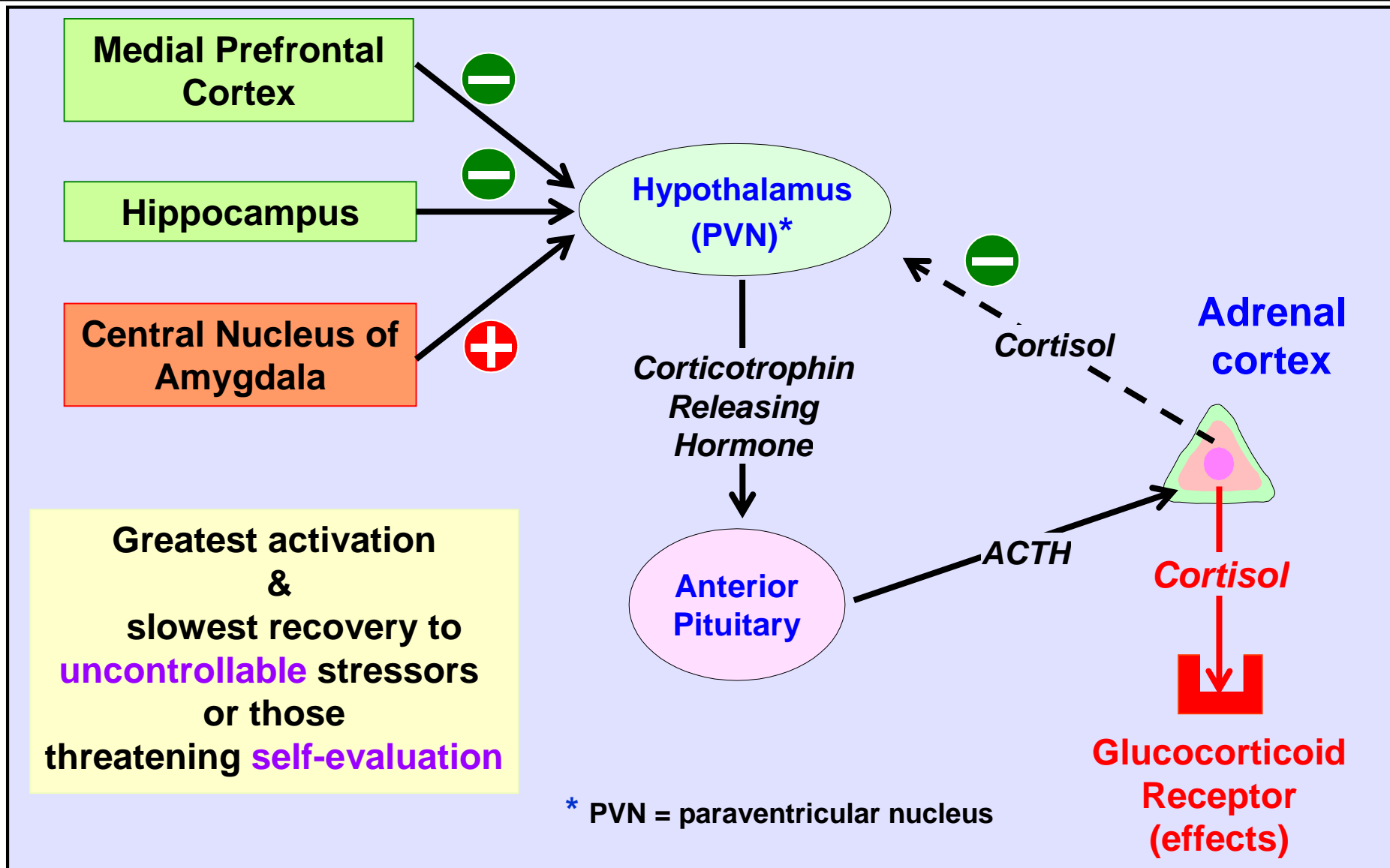
The Acute Stress Response



The hypothalamic-pituitary-adrenal (HPA) axis



Acute Stress on the HPA Axis



Chronic Stress



Involves the activation of SAM & HPA axes

Immune, metabolic and neural defensive biological responses, important for short-term response to stress, produce **long-term damage** if not eventually terminated

Allostatic Load

Potential detrimental cost to such accommodation – wear & tear from chronic underactivity/overactivity of the allostatic system

Enhances Th2 cytokine production

(Th2 activate humoral immunity & **exacerbate allergy**)

Suppresses Th1 cytokine production

(Th1 activate cellular immunity to provide defence against infections & neoplastic diseases)

Contribution of stress to development of atopy/allergy phenotype

The Two Survival Mechanisms of Humans

T-helper 2 (Th2) Immune Response

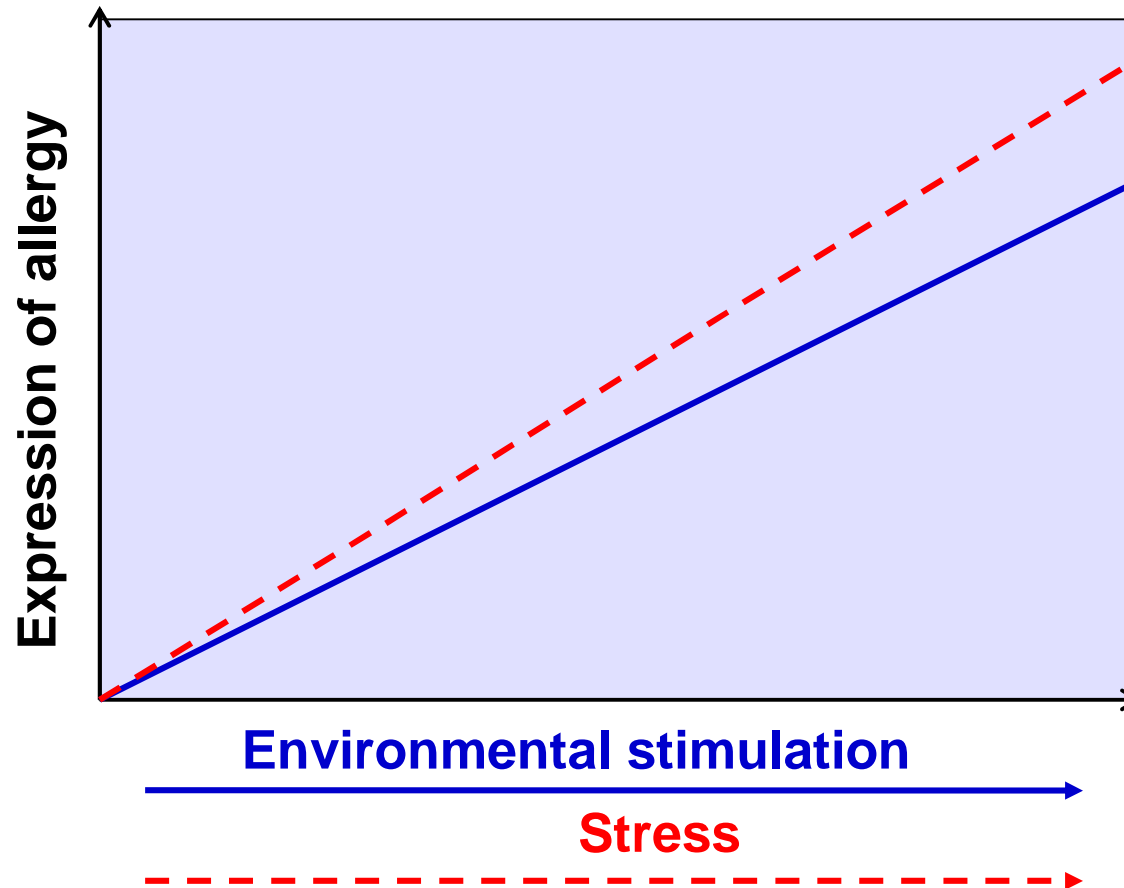
and

Acute Stress Response

**Have they joined together and
begun to work against us?**

Allergy, the Environment and Stress

Expression of allergy in a person with a genetic predisposition to allergy



Th2-bias and Immune Dysregulation

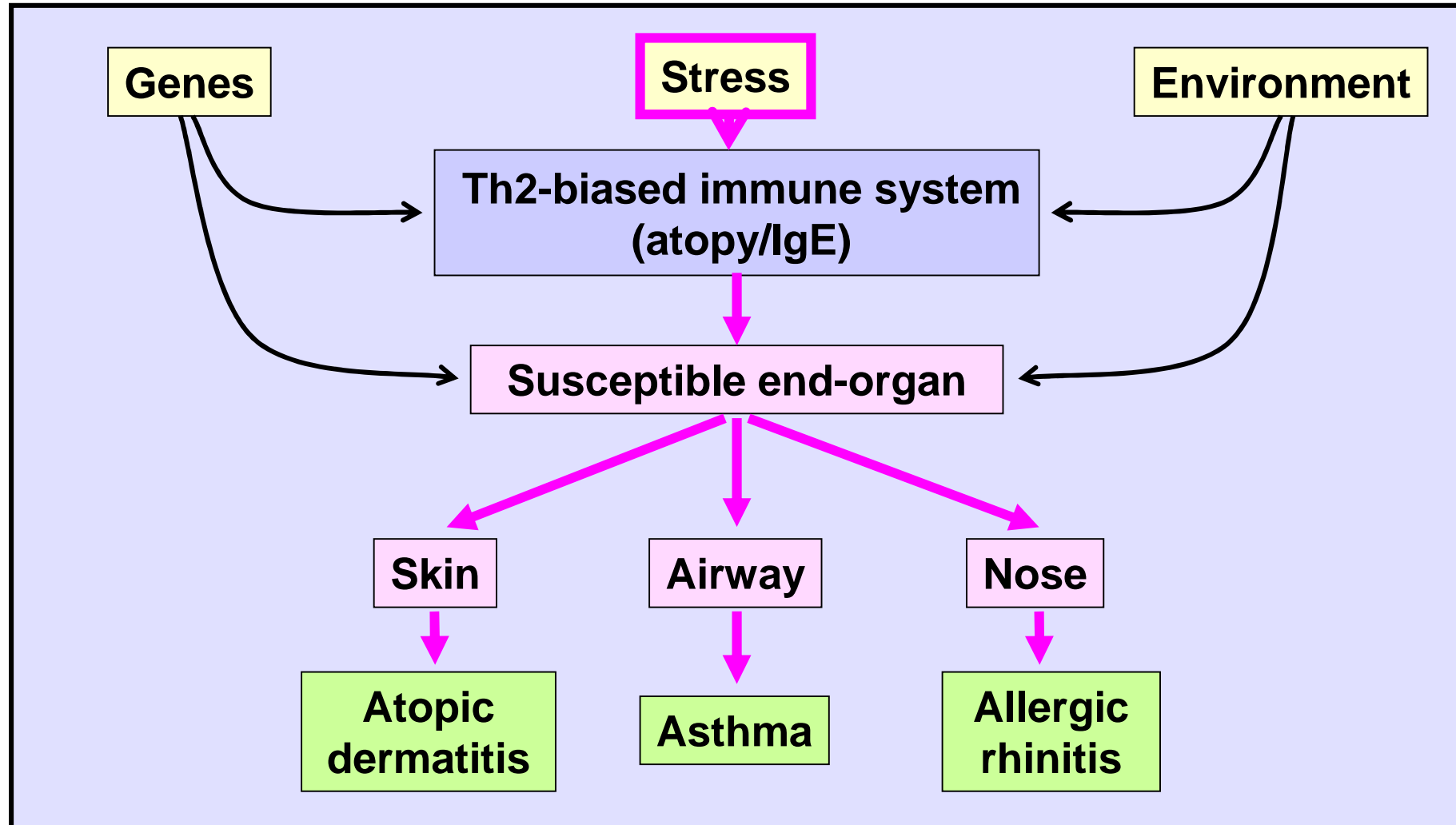
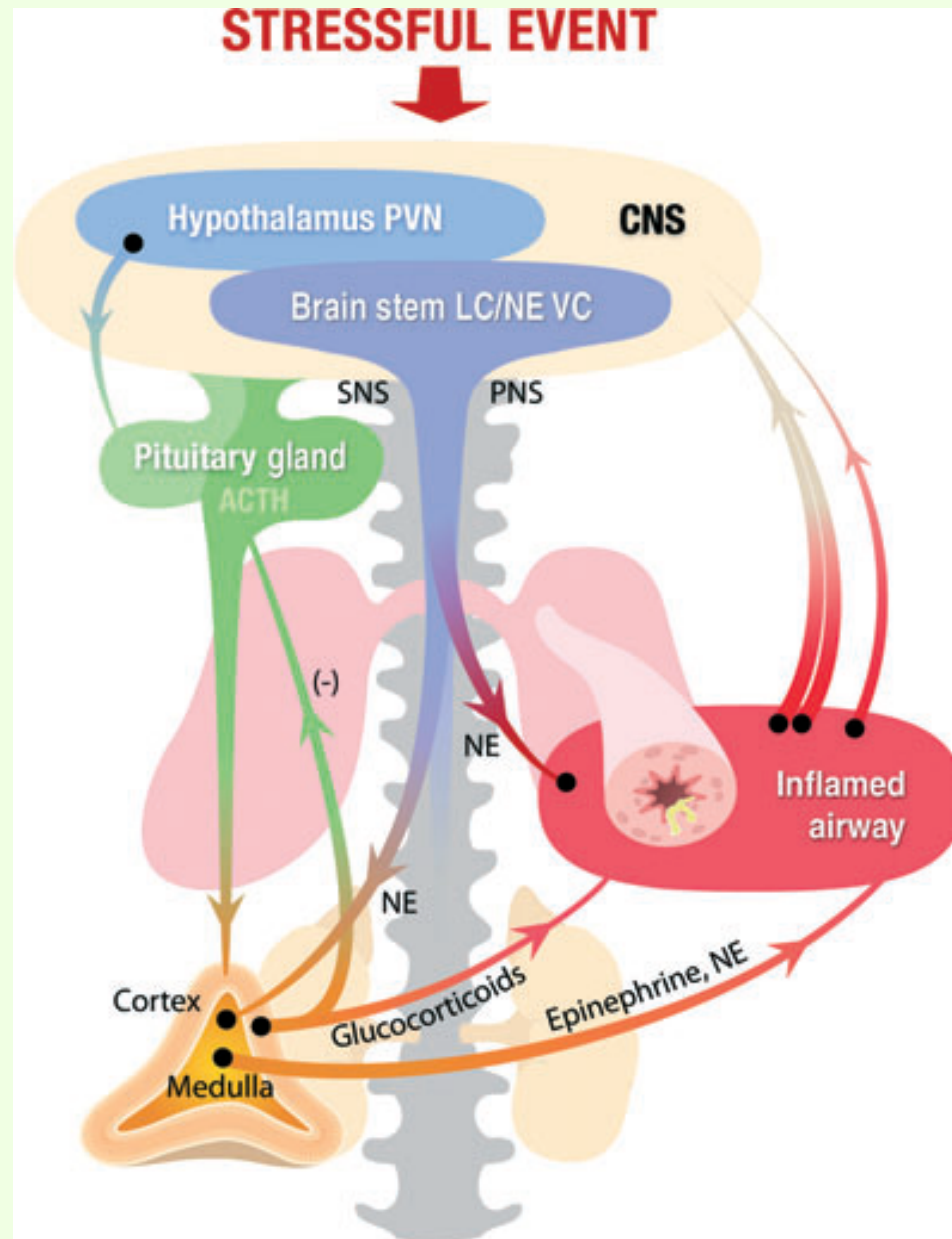


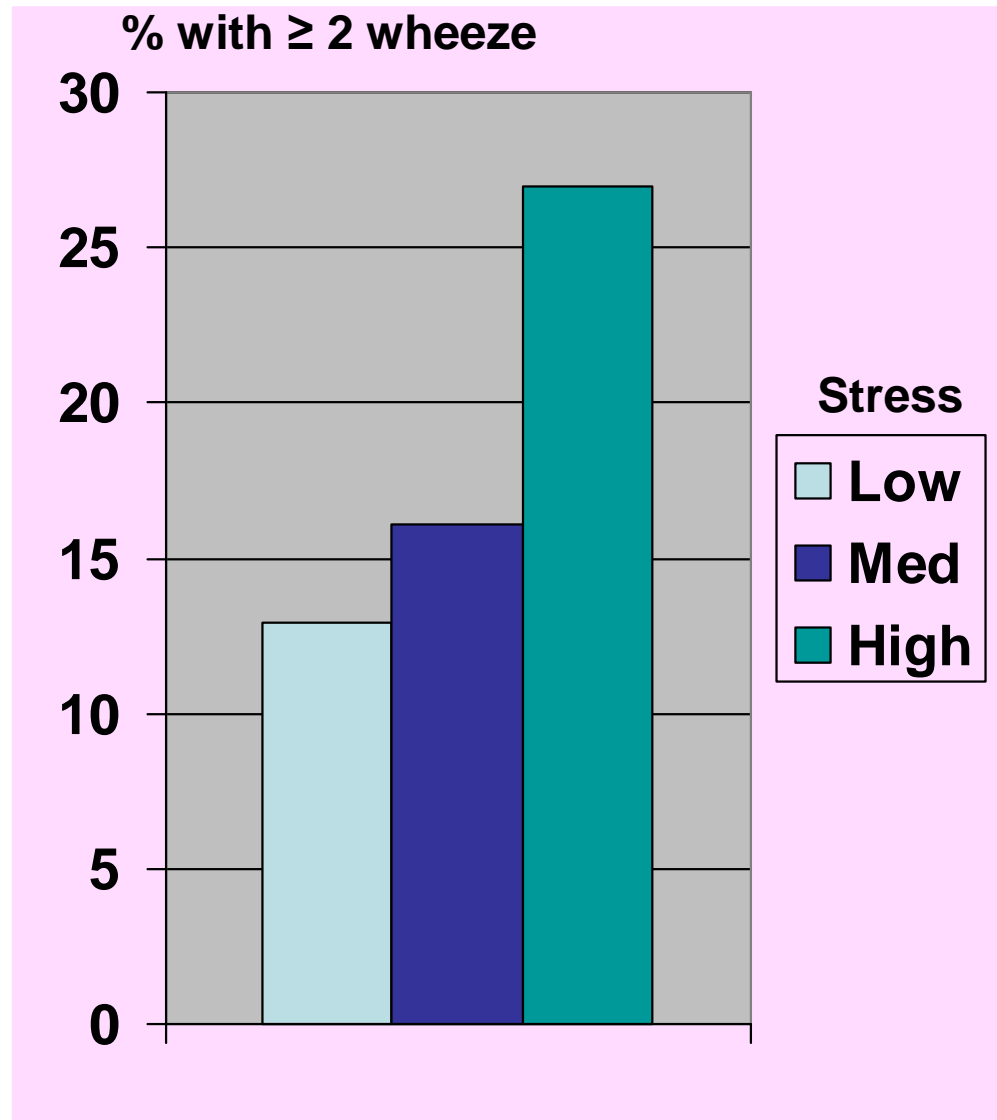
Diagram re-drawn according to Georas et al (2005), Eur Respir J, 26:1119-37

Contribution of stress to manifestation of asthma

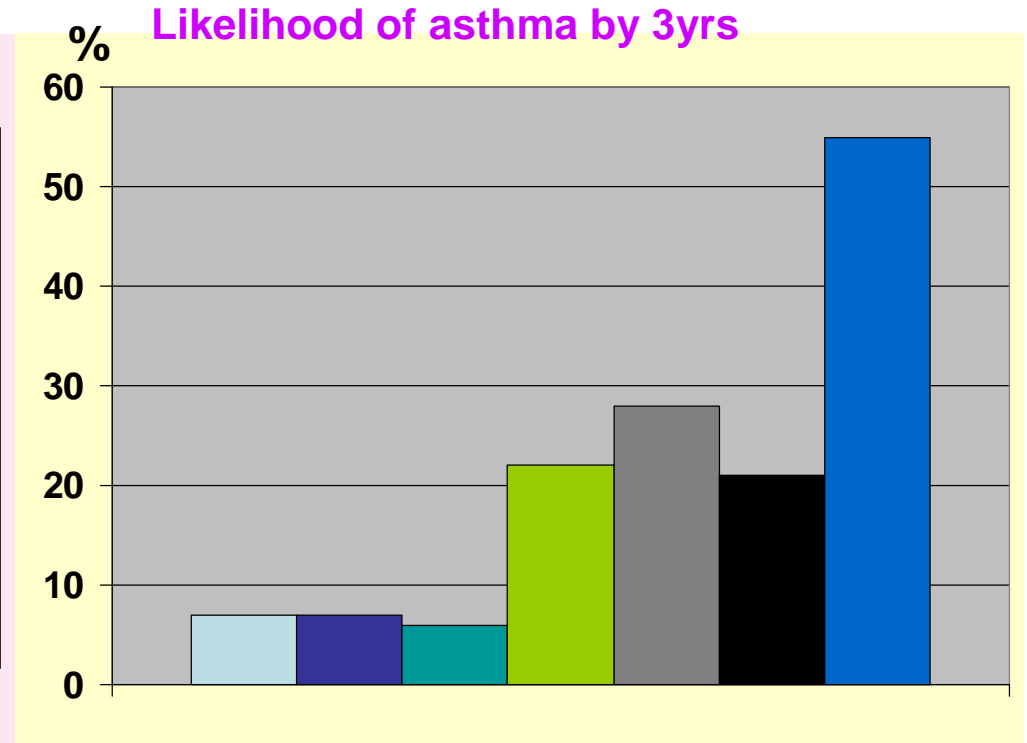
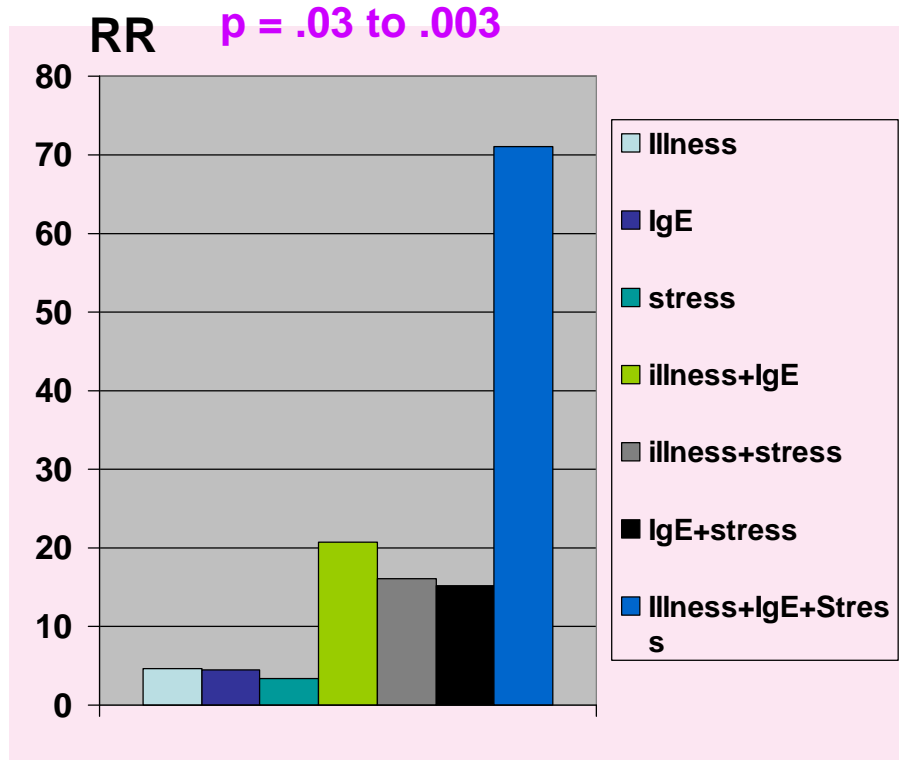


Early caregiver stress – wheeze in 1st yr

Caregiver stress in first few months predicted multiple wheeze in 1st year in predisposed children
RR=1.4 (95% CI 1.1-1.9)

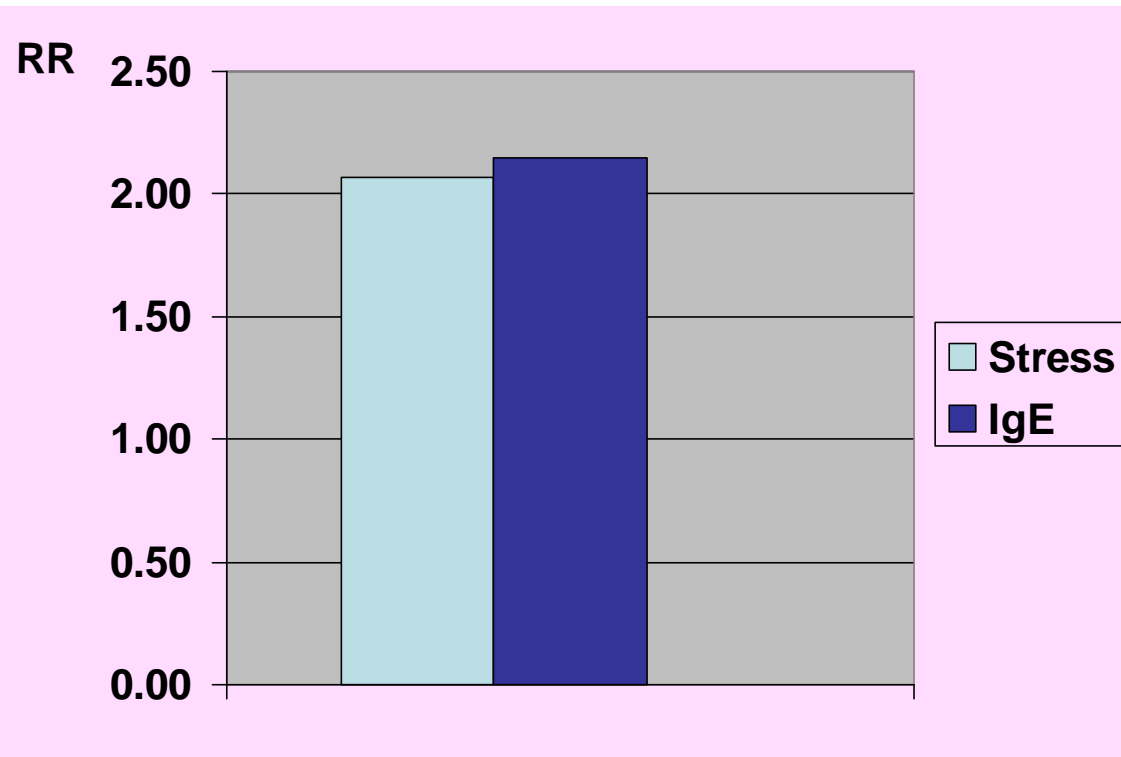


Early stress, onset of asthma by 3 yrs



Parental stress together with early parenting difficulties predict onset of asthma in those genetically at risk. The asthma risk greatly increased in the context of frequent infections in 1st year & elevated serum IgE at 6 months.

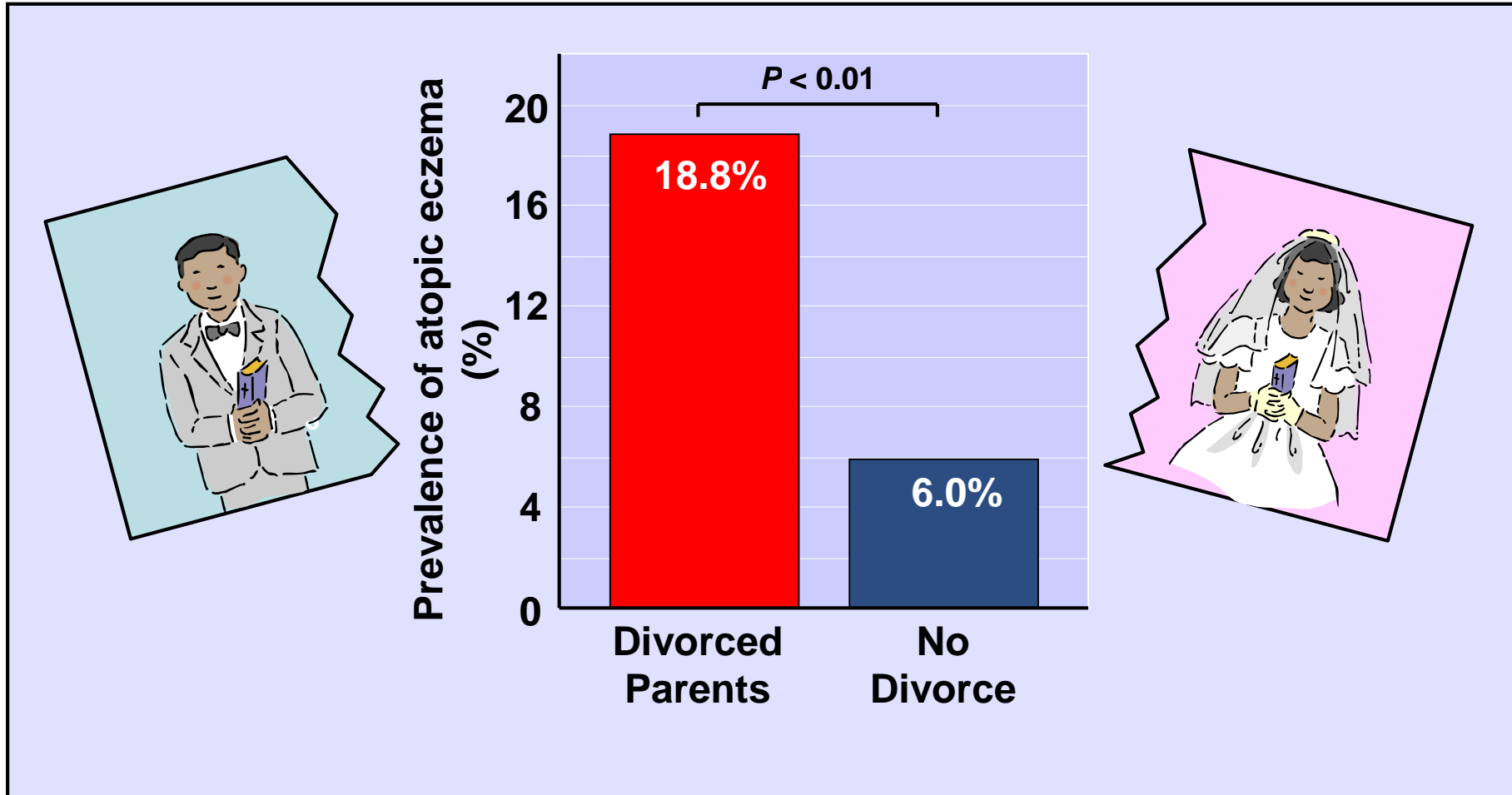
Early parental stress – asthma at 6-8 yrs



Early parental stress and parenting difficulties doubled the risk of asthma by age 6-8 yrs

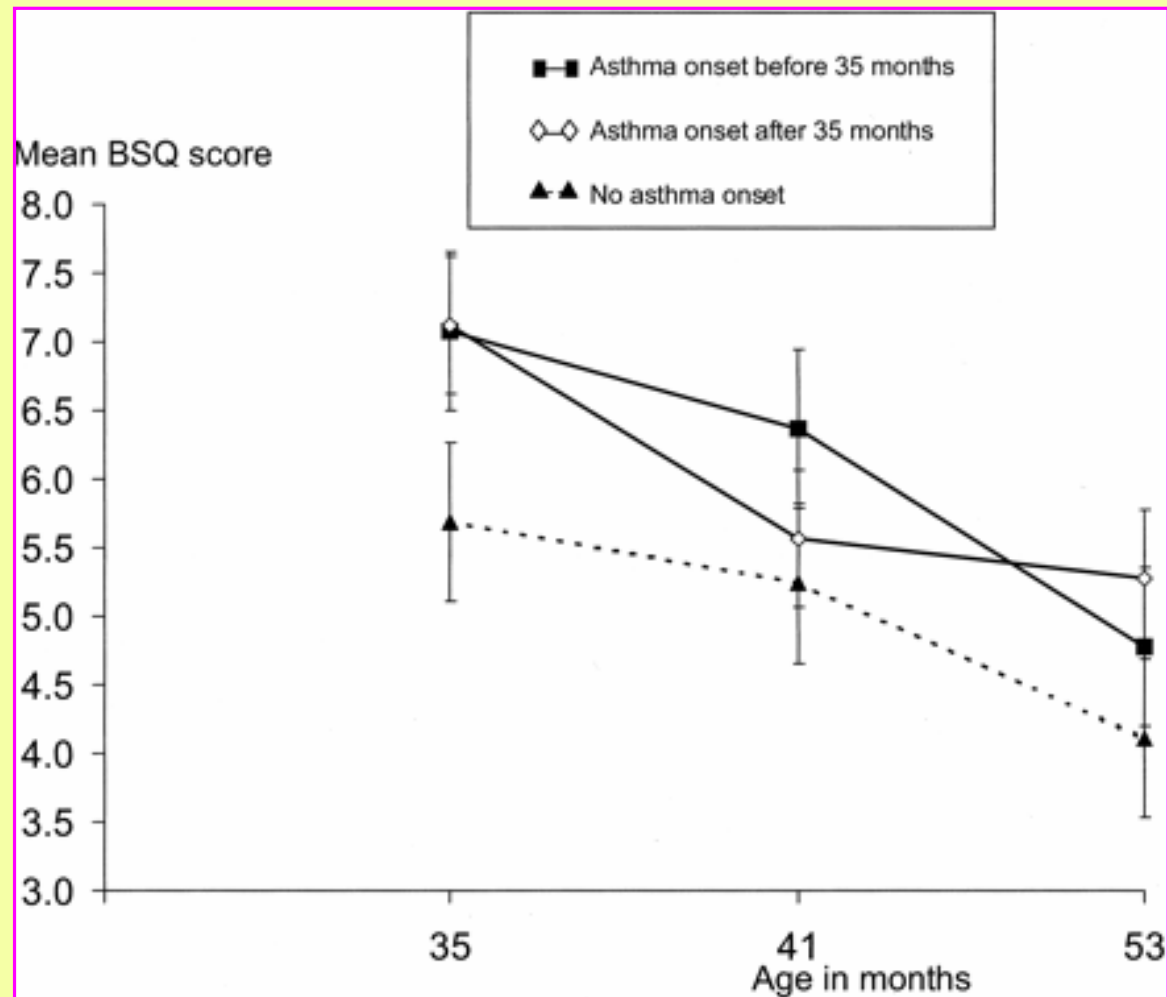
Evidence: Stress and risk of atopic eczema

Prevalence of atopic eczema in children aged 4 years



Early behaviour problems precede asthma in children with atopy

**Age 3
behaviour problems
(markers of stress)
more common in
children with atopic
dermatitis who
developed asthma
by age 4½ years,
and often preceded
onset of asthma**

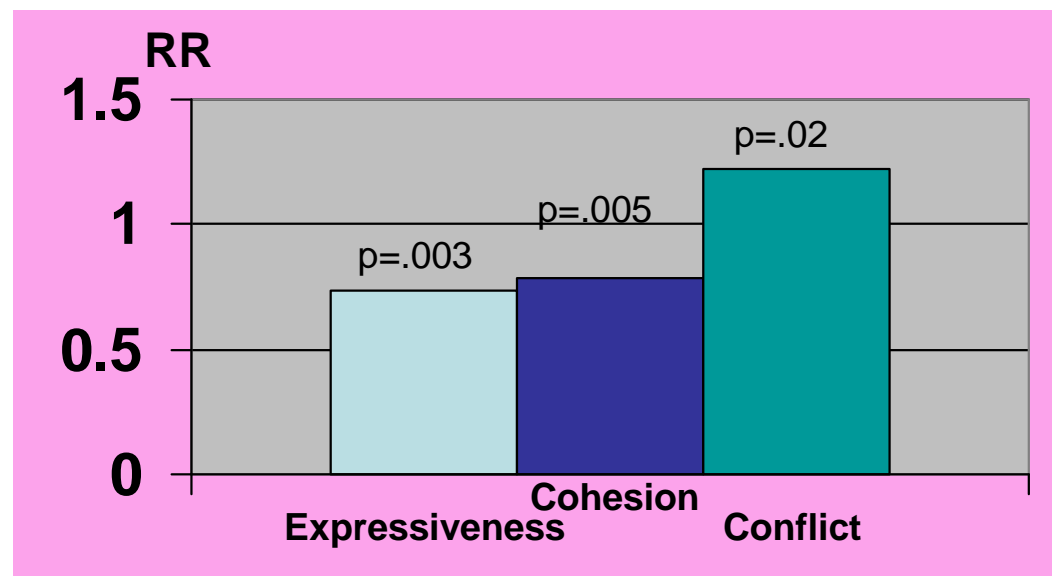
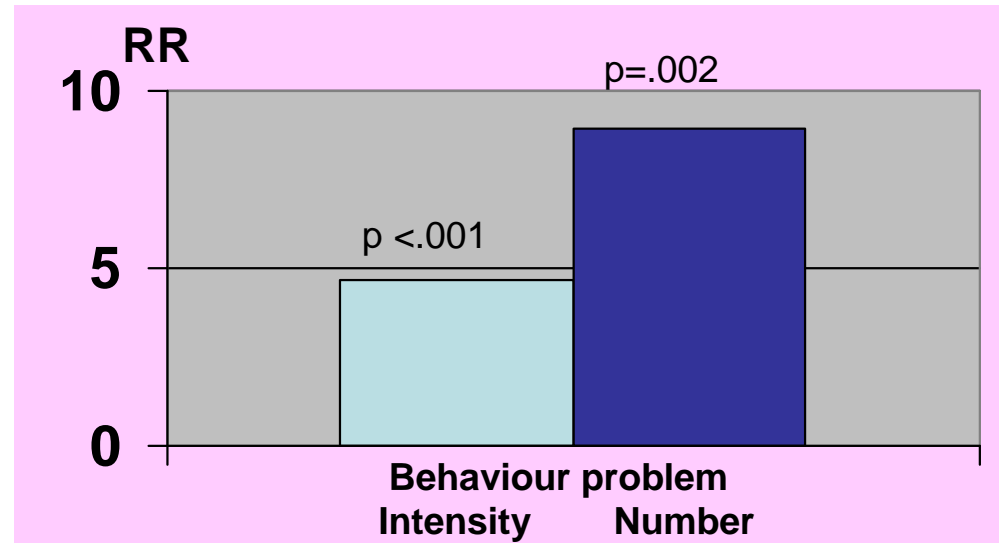


Behaviour problems, family functioning, late onset (by age 5) wheeze

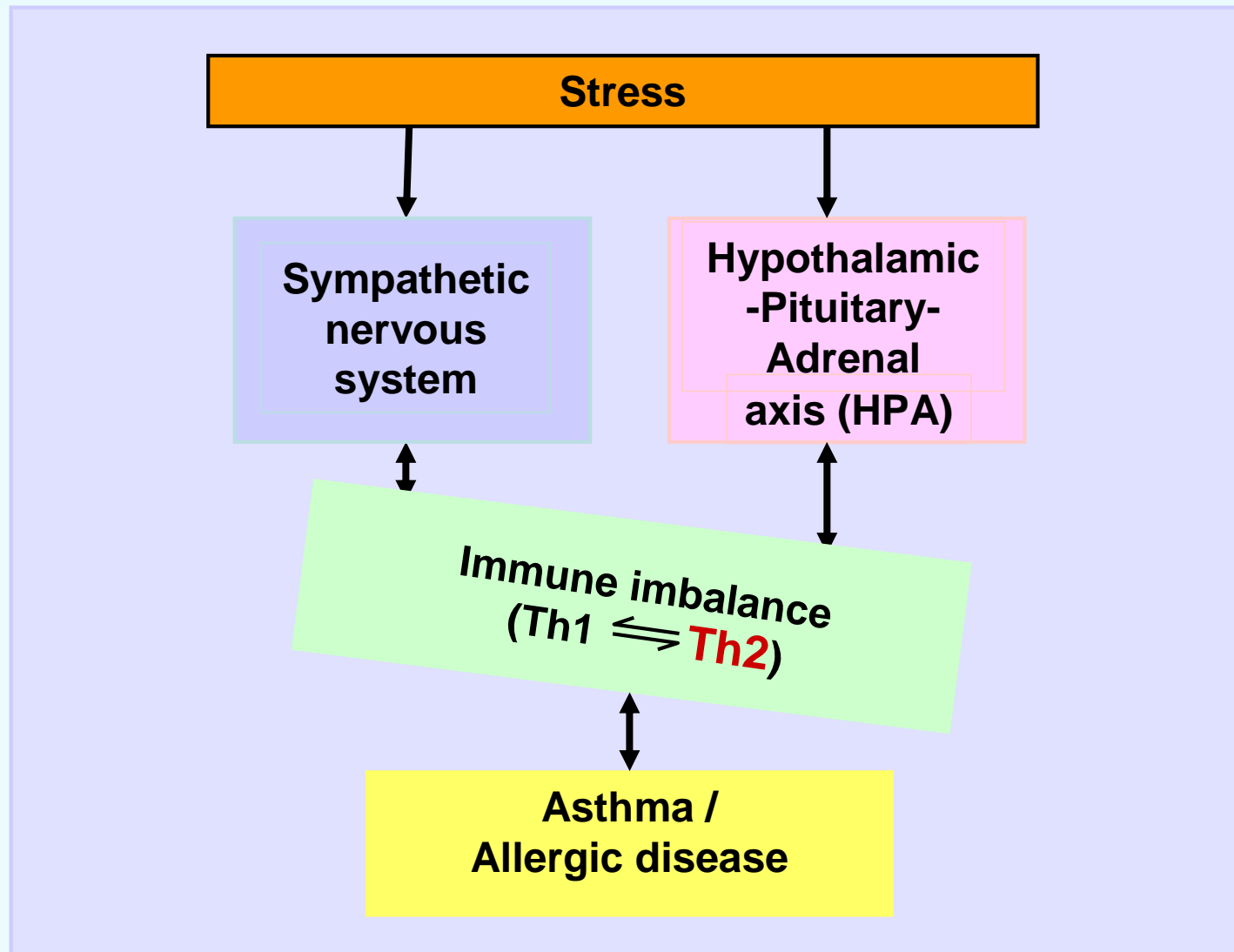
**Behaviour problems age 3
&
poor family functioning**

- low expressiveness
- low cohesion,
- high conflict

predict wheeze by age 5



A model of how **Stress** may influence **Asthma**



Mechanisms of effects

Caregiver stress early in life associated with atopic immune profile in young children genetically predisposed to atopy / asthma*

Early 'behaviour' problems markers of **emotional dysregulation**, and of stress**

Emotional dysregulation reflects wider **physiological dysregulation** indicating a common genetic vulnerability with asthma***

* Wright et al (2004), *J Allergy Clin Immunol*, 113, 1051-7

* Bockelbrink et al (2006), *Allergy*, 61, 1397-1402

** Stevenson (2003), *Psychosom Med*, 65, 971-5

*** Mrazek (2003). *Child Adol Clin N Am*, 12, 459-71

Contribution of stress to the course of existing asthma

Design & Methods

- Prospective follow-up 18/12**
- Clinical sample**
- N= 90**
- Age 6 -13 yr**
- Chronic asthma**
- moderate to severe**
- preventative & rescue medication**

Outcome measures

- Repeat measurement of**
 - **life events**
 - **chronic stress**
by Child & Parent interviews
(PACE) ¹
- Asthma monitoring**
 - independent
 - continuous
 - **daily diaries**
 - **peak flows**

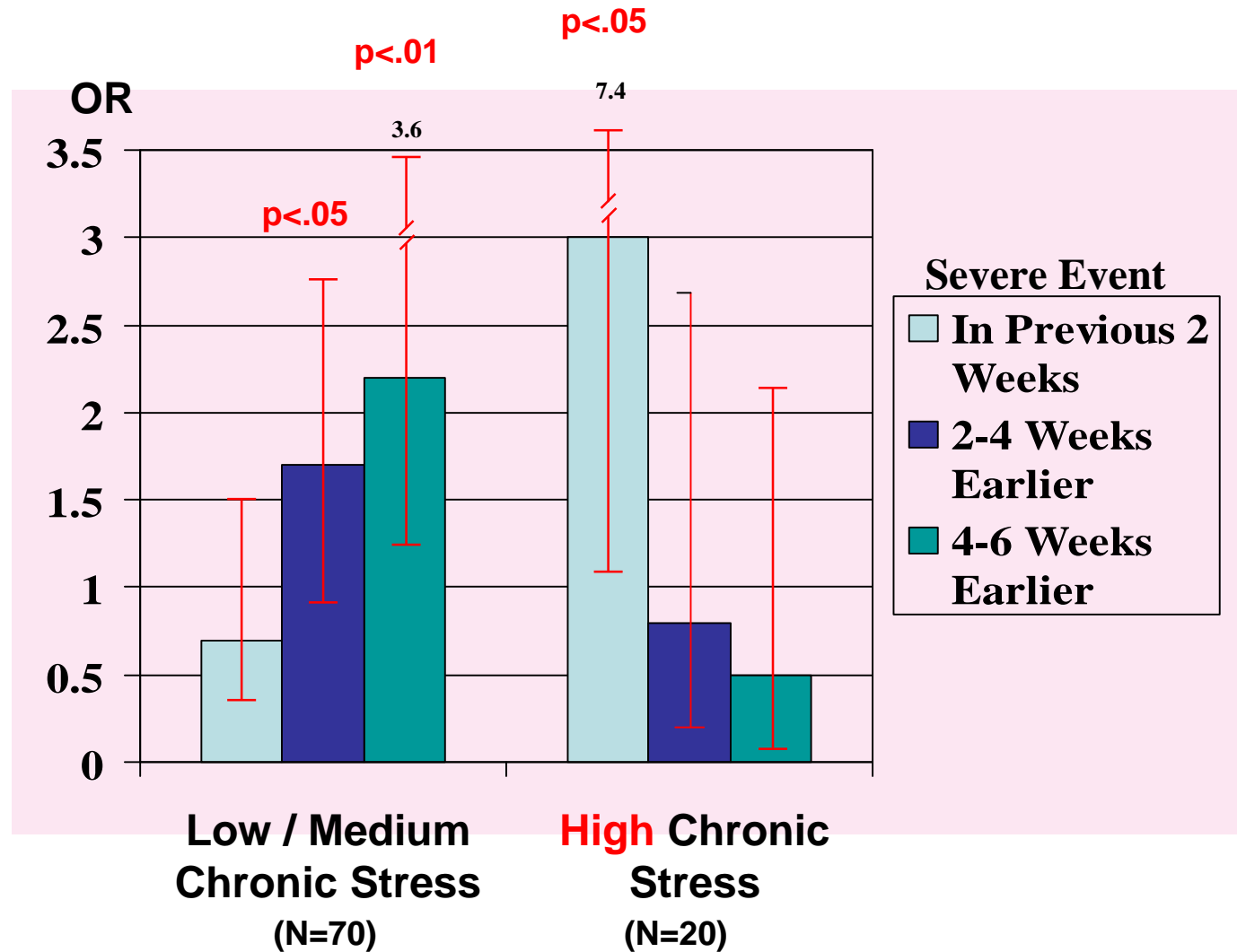
¹ Sandberg et al, (1993), *JCPP*, 34: 879-897

Risk of asthma attacks following severe events – effect of ongoing chronic stress



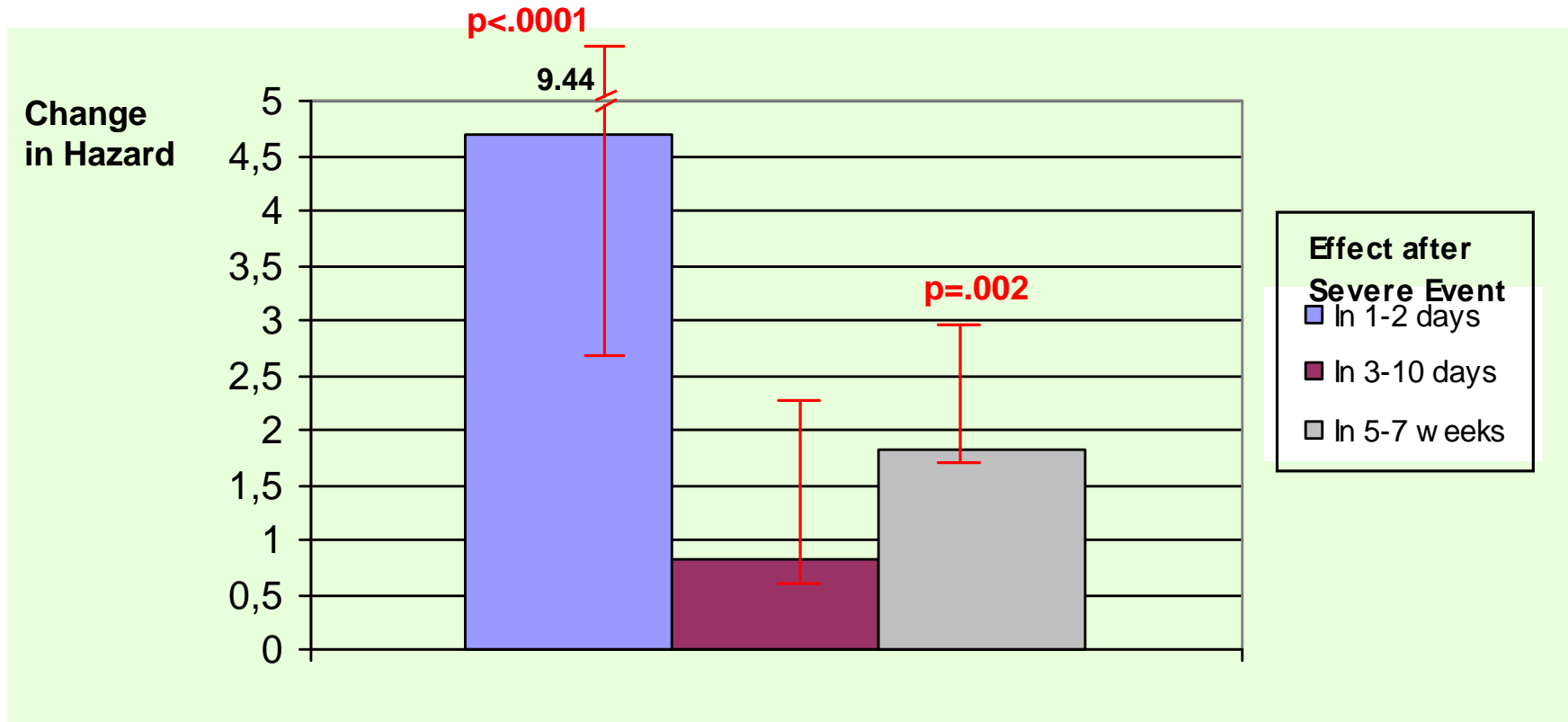
Severe event
 ↑ risk of new attack in coming weeks

Risk influenced by chronic stress (dynamic logistic regression)



Severe events: Immediate & delayed effects

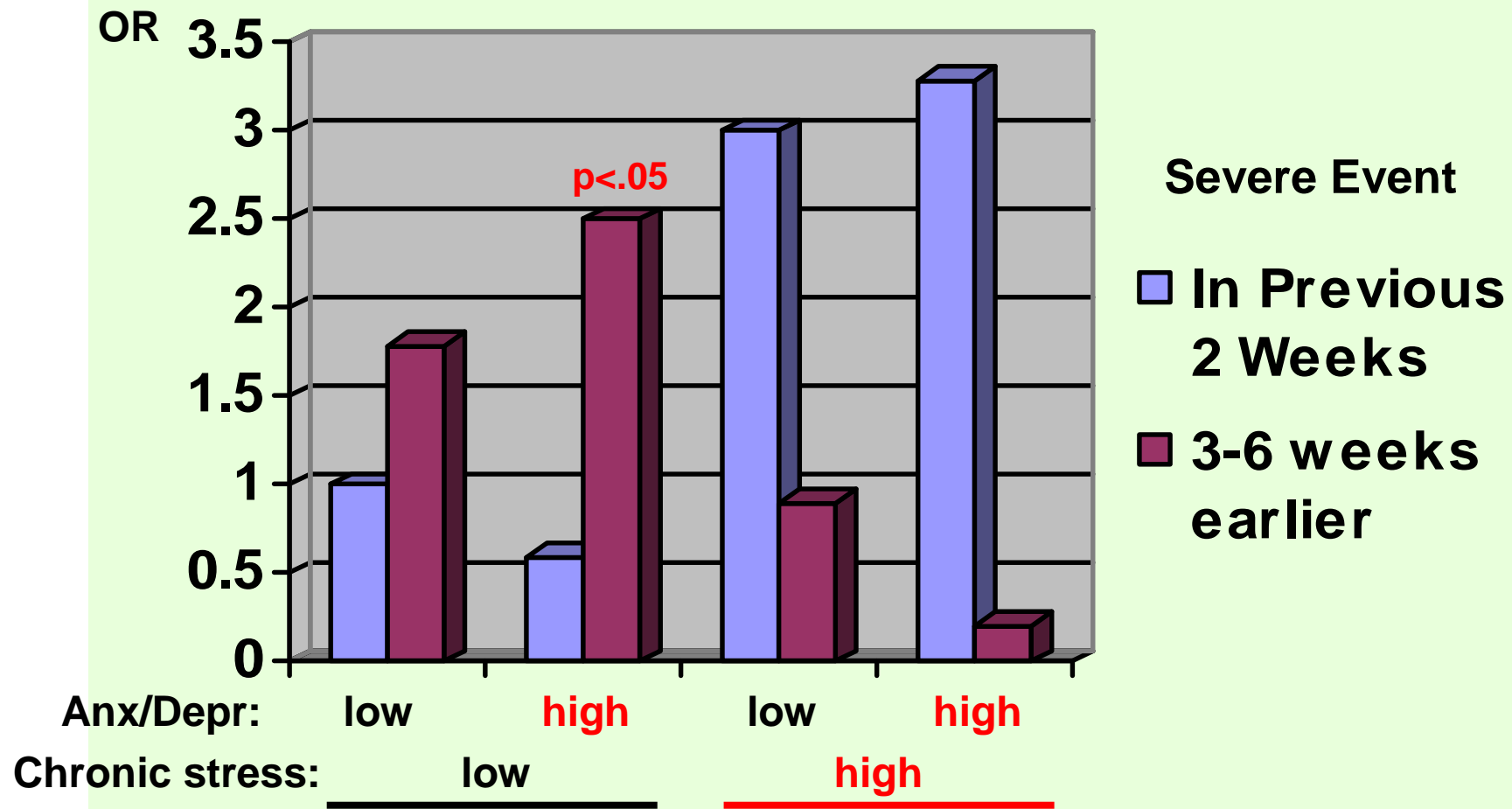
* low / medium chronic stress (N=60)



Use of statistical methods capable of examining short time lags showed that severe life events increase immediate risk of new asthma attack also in the low-medium chronic stress group, with a another rise 5-7 weeks later (Cox' regression)

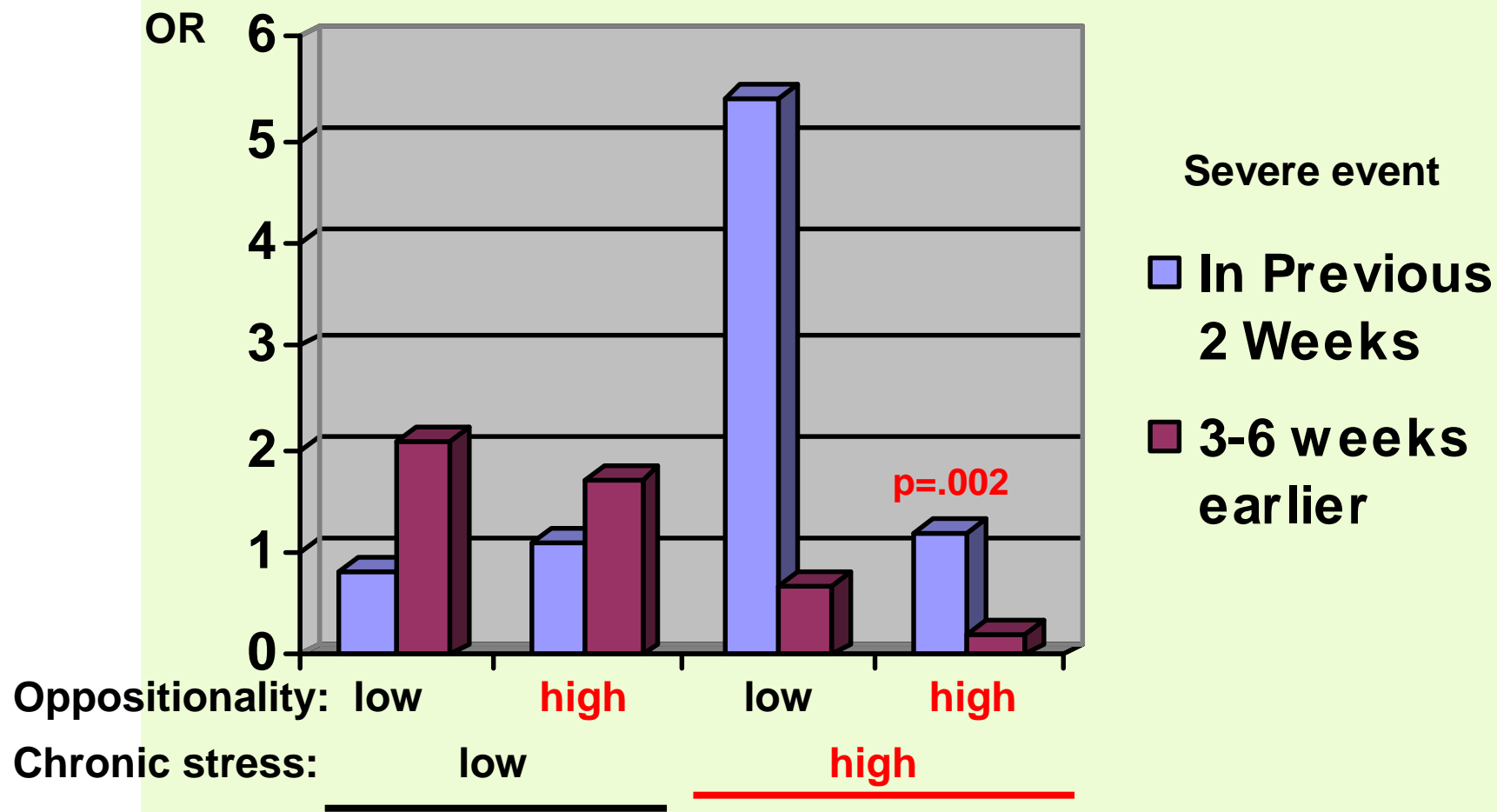
Emotional problems & stress-asthma relationship

Anxiety/depression further increased risk of new asthma attack following severe life event – but only in the absence of chronic stress



Oppositional behaviour and stress-asthma relationship

In conditions of high chronic stress, oppositional/rebellious behaviour protected against new asthma attack following severe life event



Summary: Glasgow study



- Severely **negative life events** increase the risk of children's asthma attacks immediately and over the coming weeks
- **High chronic stress** magnifies the risk associated with severe events
- **Emotional problems** further increase the risk
- **Minor rebelliousness** is protective when chronic stress is high
- In the absence of high chronic stress, **positive life event** occurring in close proximity to severe event protects against the increased risk*

Sandberg et al, *Lancet*, 2000, 356: 982-7; *Thorax*, 2004, 59: 1046-51;
Acta Paed, 2002: 91, 152-158; *Eur Child Adol Psych*, 2003: 12 S2, 230

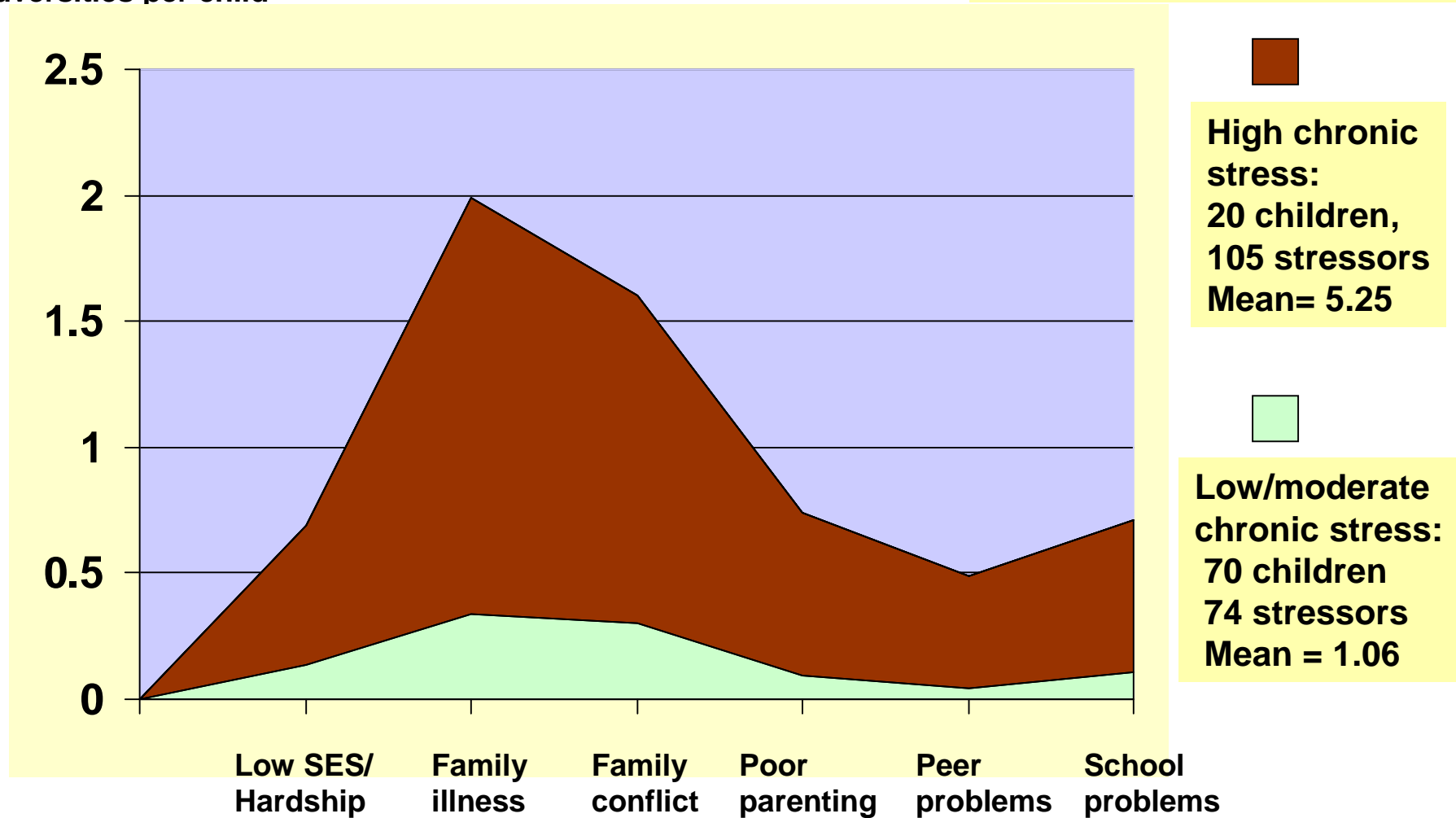
*(data not shown in this presentation)

Possible explanation: **Stress is not evenly shared**



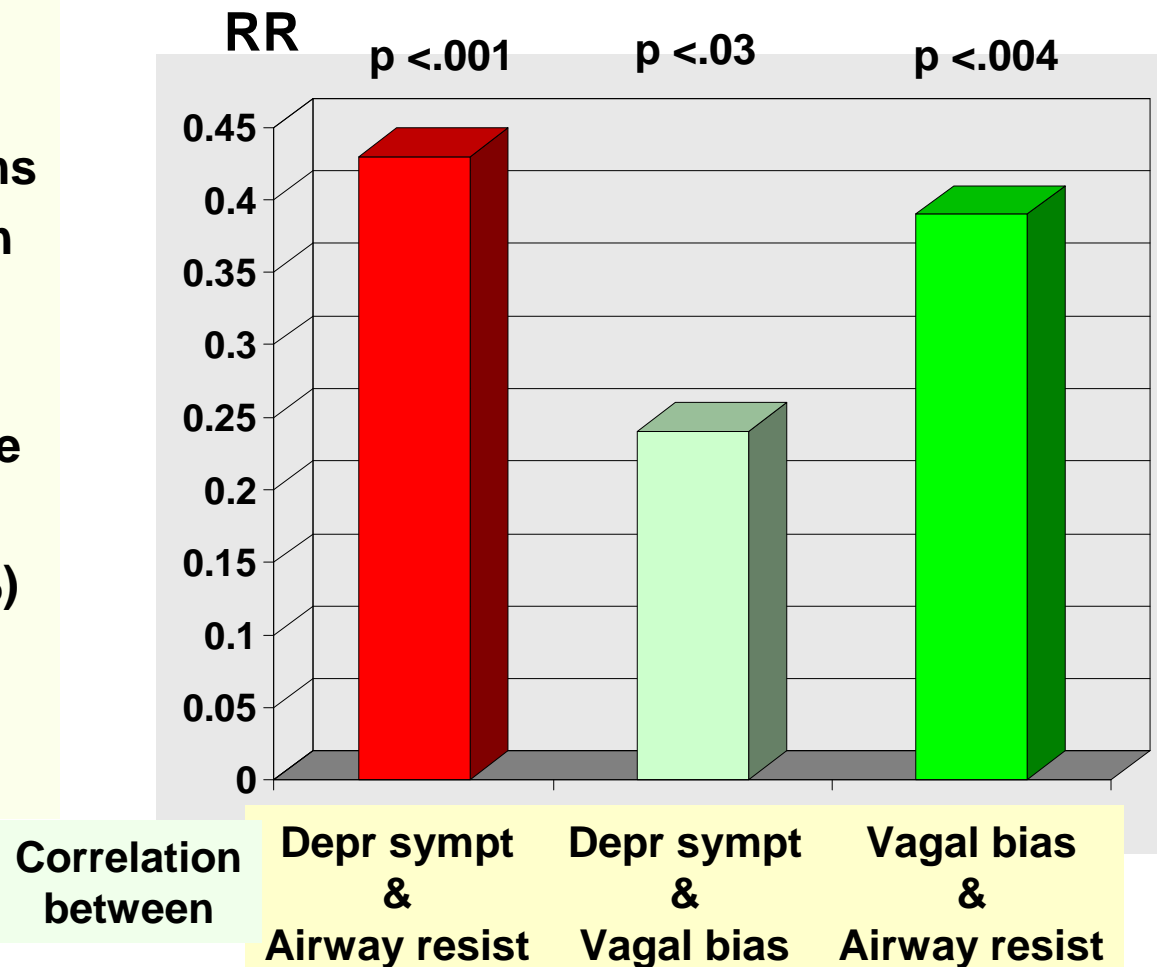
Mean number of chronic adversities per child

90 children with asthma:



Depressed children with asthma

- Children with asthma and depressive symptoms manifest vagal bias when emotionally stressed
- Those with depressive symptoms and poorer lung function ($FEV_1 < 80\%$) manifest greater airway resistance



Stress and Glucocorticoid Resistance



An alternative hypothesis linking stress, neuroendocrine and immune function with allergic disease

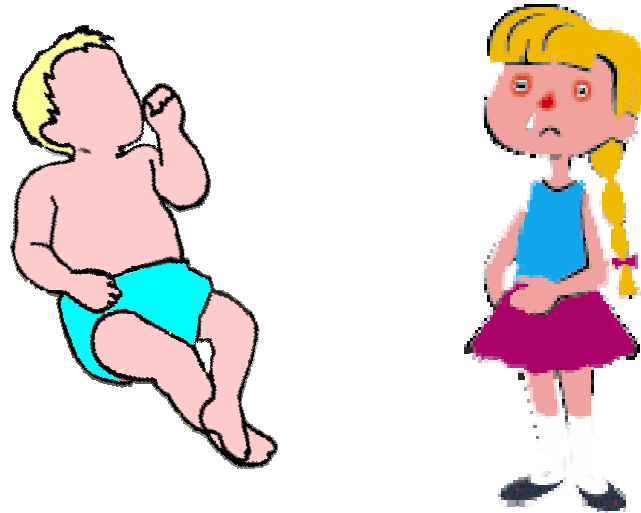
Asthma patients show variable response to treatment because of **acquired steroid resistance** induced by chronic inflammation or immune activation

Possible reason: **prolonged activation of SAM & HPA** axes caused by chronic stress results in **down regulation of Glucocorticoid Receptor** expression or function

**Why does early life stress increase
risk of asthma?**

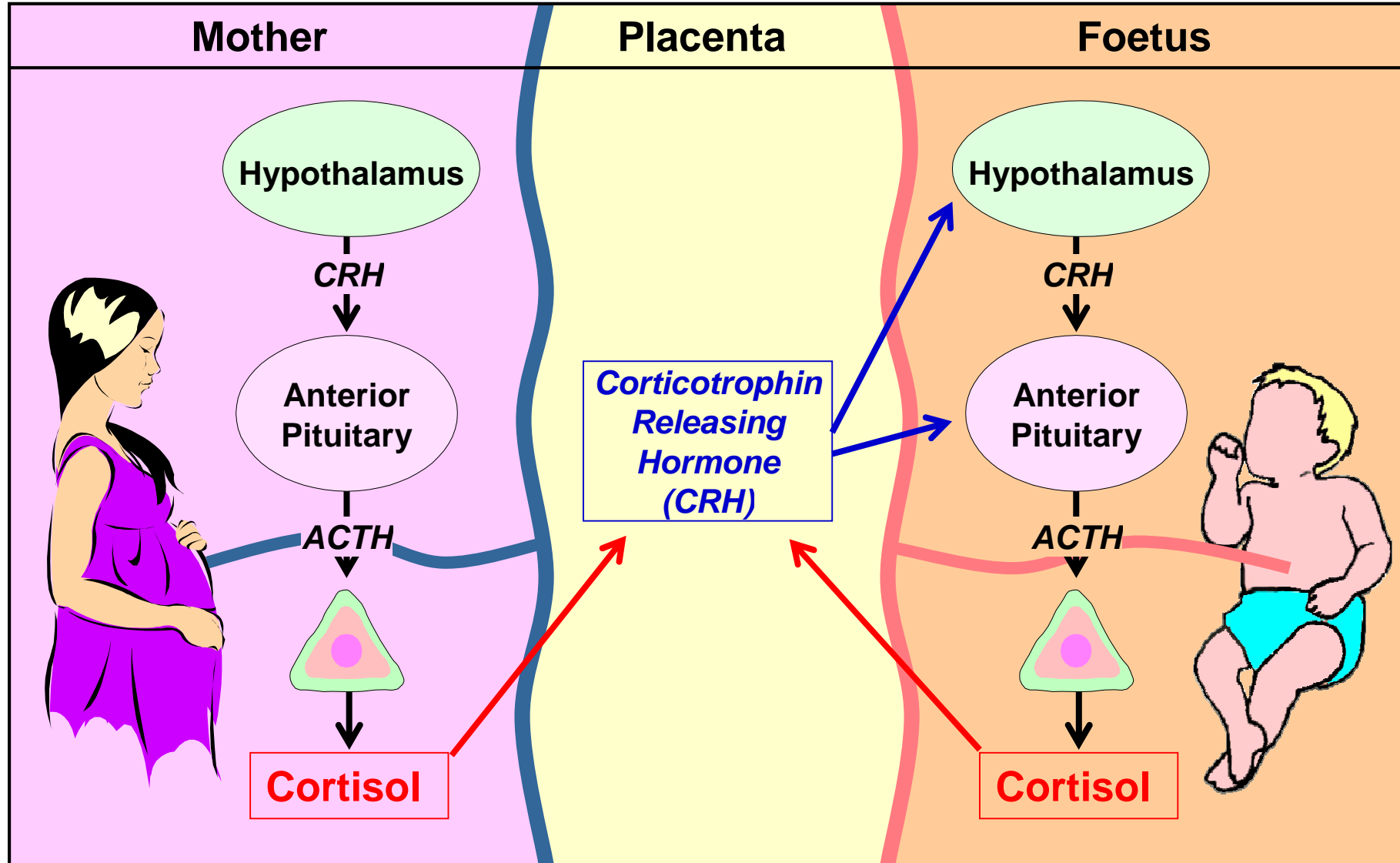
How does stress increase risk of asthma?

When and how does it start?

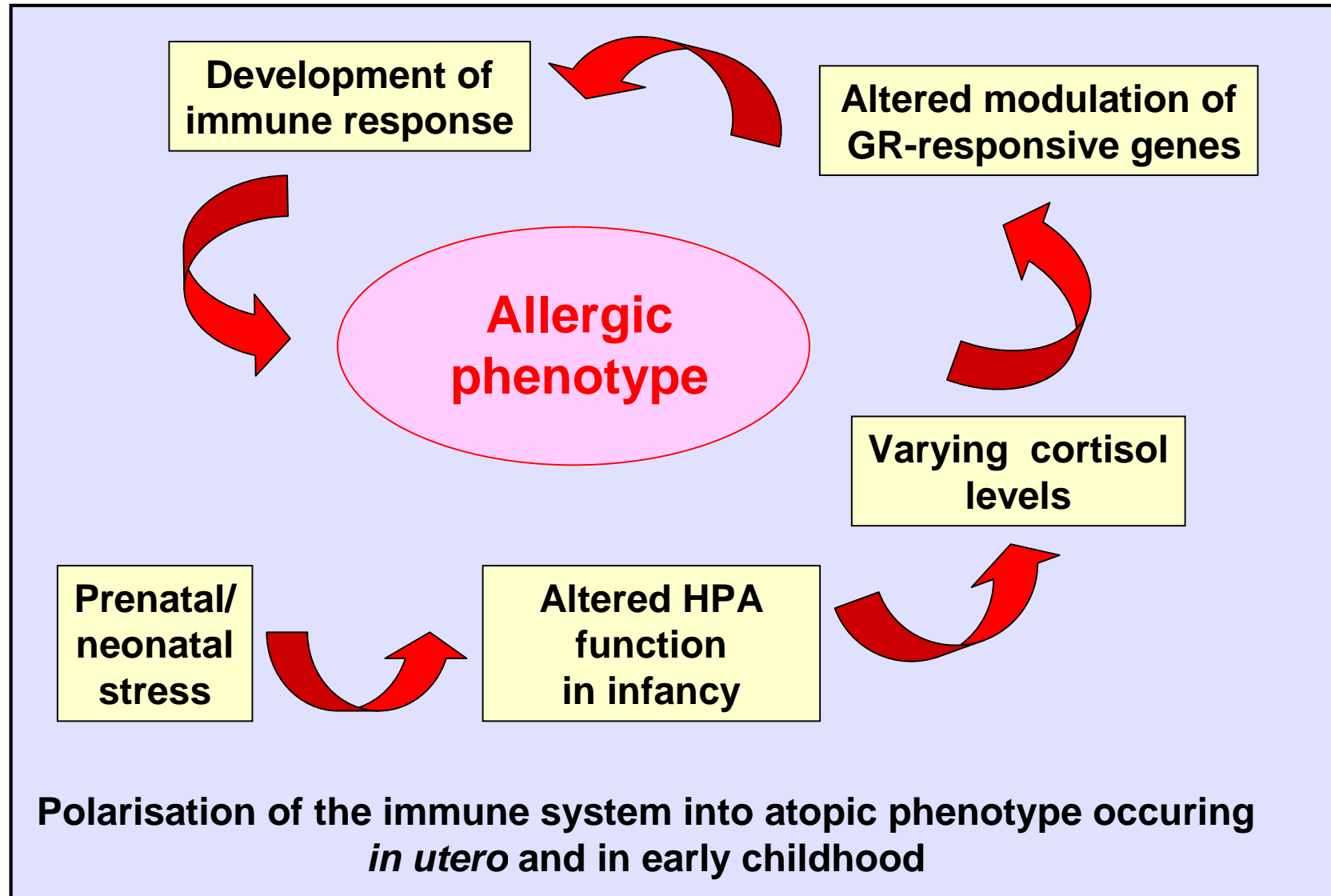


Problems with HPA function and its consequences on the immune system start *in utero* and continue to develop in early life

Neuroendocrine Axis in Pregnancy



From early stress to allergy



Your Childhood Determines Your Adulthood

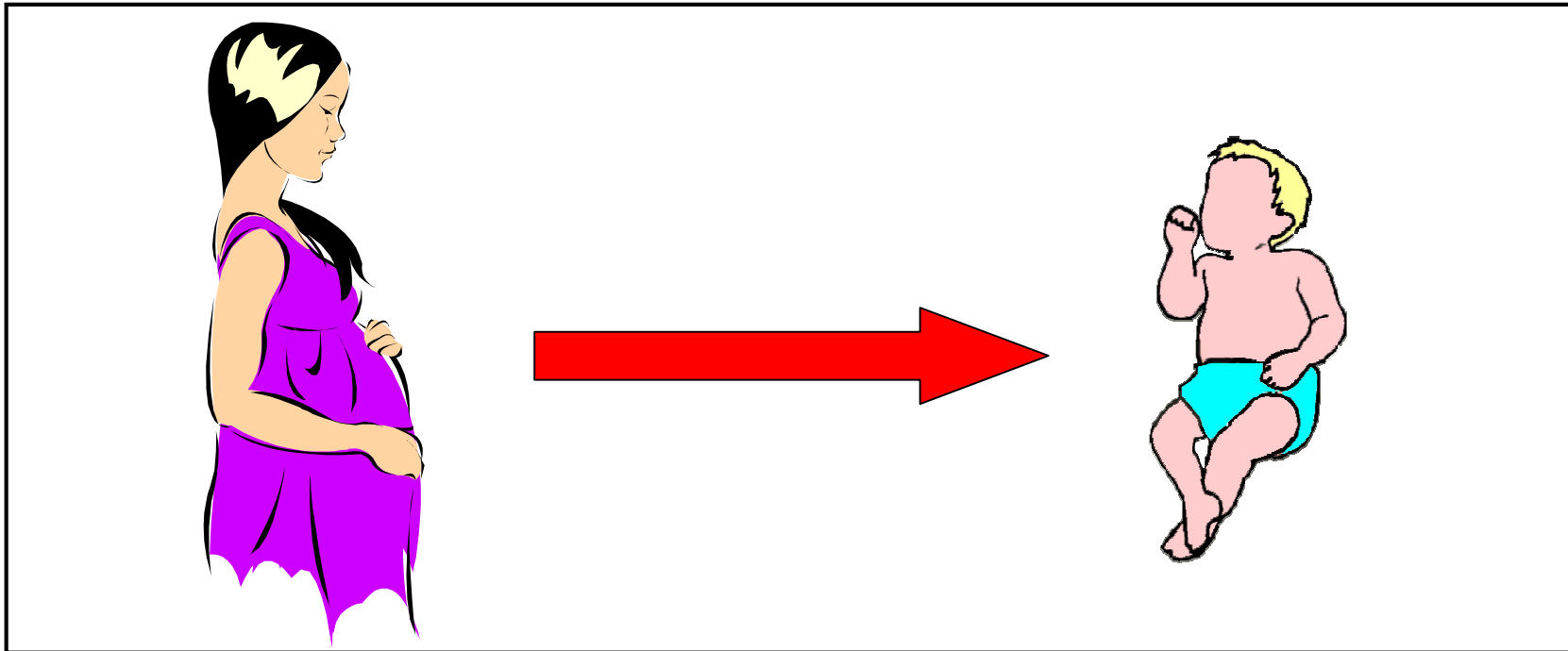
Early life interactions between allergens and Th-cells determine whether a Th2-biased response emerges

Epidemiological evidence: The **critical time period** for establishment of allergy and asthma is between **conception and 3 years** of age

In **genetically predisposed** individuals, **environmental factors** and **stress** may **interact** resulting in more severe asthma phenotypes which last into adulthood

Epigenetic Effects

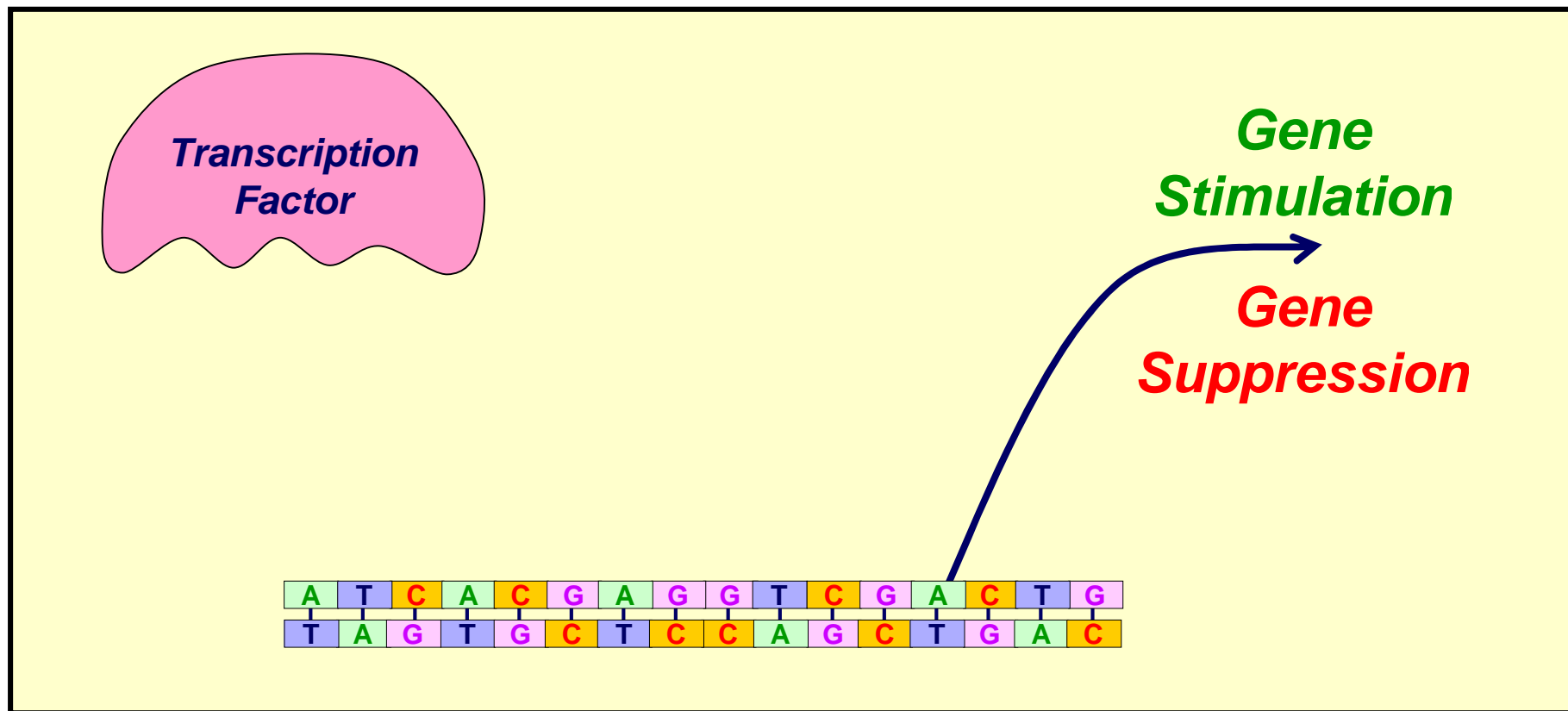
Heritable changes in gene expression that occur without directly altering DNA sequence



Most commonly regulated by direct methylation or by post-translational modifications of histones

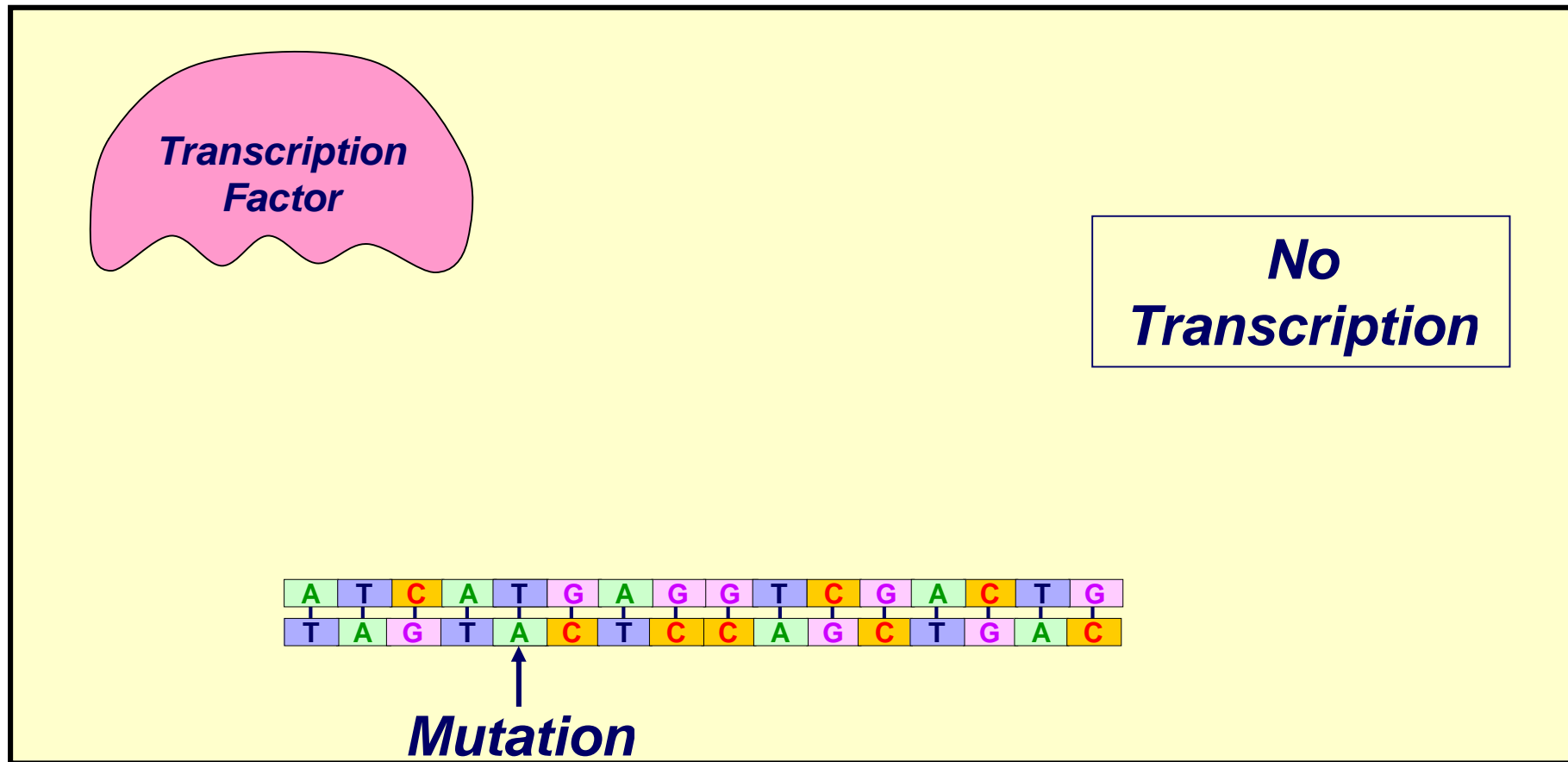
Normal Gene Transcription

Transcription factor binds to Cytosine residues in the DNA to stimulate or suppress transcription

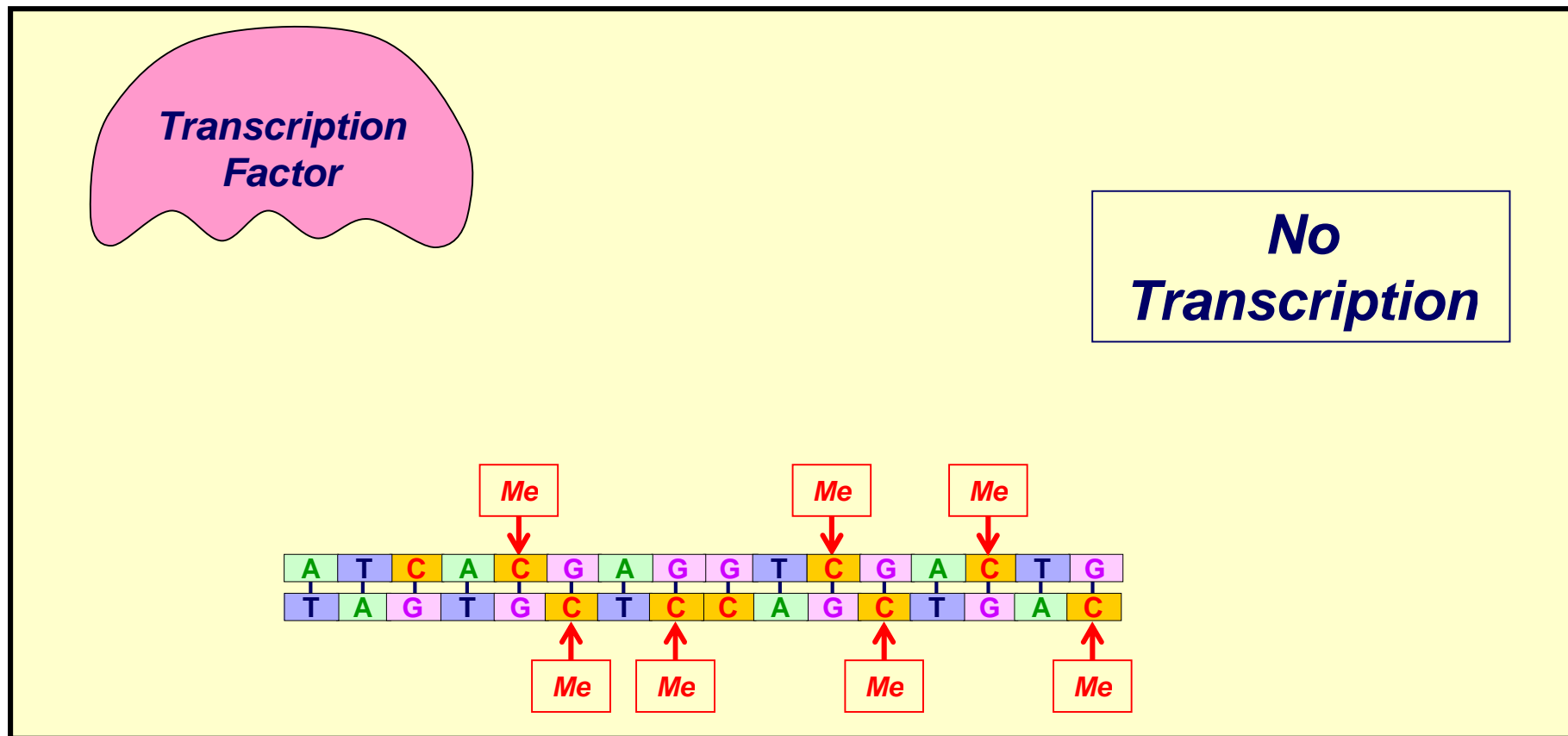


Gene Silencing – Mutation

A mutation of CG to TA prevents binding of the transcription factor to DNA and inhibits transcription



Epigenetic methylation of the Cytosine residues prevents binding of the transcription factor to DNA and inhibits transcription



Epigenetic changes:

- heritable changes in gene expression that occur without directly altering DNA sequence^{1,2,5}
- cytokine gene regulation via methylation & acetylation of histones (resulting in silencing the Th1 gene)²
- may occur prenatally or neonatally and influence the phenotype throughout life span^{1,4,5}
- enable trans-generational inheritance of allergy to offspring or offspring's offspring^{3,4}

E.g., a grandmother smoking while pregnant may increase the risk of asthma in her grandchild³

¹Roth et al (2009), *Biol Psychiatry*, 65: 760-769

²Ansel et al (2003), *Nature Immunol*, 4: 616-623

³Li et al (2005), *Chest*, 127: 1232-1241

⁴Prescott SL & Clifton V (2009), *Curr Opin Clin Immunol*, 9, 417-26

⁵Breton et al (2009), *Am J Crit Care Med*, 180, 462-467

Epigenetics and Inheritance



In children **genetically at risk**

- early caregiver stress and
- parenting difficulties
- predict multiple wheeze in 1st year
- onset of asthma by age 3
- occurrence of asthma at early school age

Early 'behaviour' problems

- precede onset of asthma in young children with atopy
- together with family problems predict late-onset wheeze
- are possibly expressions of stress
- reflect wider physiological dysregulation interacting with genetic vulnerability

In children with **chronic asthma**

- severely negative life events increase risk of new exacerbations immediately afterwards and in coming weeks
- simultaneous chronic stress magnifies the risk

- In children with asthma,**
high chronic stress associated with
- heightened production of TH2 cytokines
 - higher eosinophil counts
 - but has opposite effect in healthy children

Chronic stress

- alters the properties of genes responsible for fighting infection and keeping airways open
- makes usual asthma medications less effective
- may affect other biological systems, e.g. contribute to hypoactive HPA-axis

Gene-environment interactions

- may explain why stress affects the immune system differently in children with asthma compared with healthy children

Epigenetic inheritance

- likely to apply to **atopy & asthma**
- as well as to **stress**

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